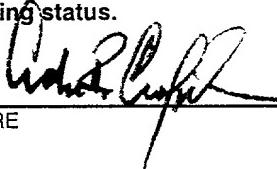


FORM PTO-1390 (REV 11-98)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 117-342
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) <b>09/786507</b> Unknown	
INTERNATIONAL APPLICATION NO. <b>PCT/GB99/02943</b>	INTERNATIONAL FILING DATE <b>6 September 1999</b>	PRIORITY DATE CLAIMED <b>7 September 1998</b>	
TITLE OF INVENTION <b>APPARATUS FOR GENERATING FOCUSED ELECTROMAGNETIC RADIATION</b>			
APPLICANT(S) FOR DO/EO/US <b>ARDAVAN et al.</b>			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19<sup>th</sup> month from the earliest claimed priority date.</p> <p>5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).  b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.  c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).  b. <input type="checkbox"/> have been transmitted by the International Bureau.  c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has <b>NOT</b> expired.  d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>			
<b>Items 11. To 16. Below concern document(s) or information included:</b>			
<p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.  <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input type="checkbox"/> Other items or information.  <input type="checkbox"/> This application is entitled to "Small entity" status. <input type="checkbox"/> "Small entity" statement attached.</p>			

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) <b>09/786507</b>	INTERNATIONAL APPLICATION NO. PCT/GB99/02943	ATTORNEY'S DOCKET NUMBER 117-342			
17. <input checked="" type="checkbox"/> The following fees are submitted:		CALCULATIONS PTO USE ONLY			
<b>BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):</b>					
-- Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1000.00 -- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.....\$860.00 -- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2) paid to USPTO .....\$710.00 -- International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4).....\$690.00 -- International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4).....\$100.00					
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>		\$ 860.00			
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).		\$ 130.00			
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	29	-20 =	9	X	\$18.00
Independent Claims	1	-3 =	0	X	\$80.00
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)			\$270.00		\$ 0.00
			<b>TOTAL OF ABOVE CALCULATIONS =</b>		\$ 1152.00
Reduction by ½ for filing by small entity, if applicable. Small entity status must also be asserted. (Note 37 C.F.R. 1.9, 1.27, 1.28).					0.00
			<b>SUBTOTAL =</b>		\$ 1152.00
Processing fee of \$130.00, for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).					0.00
			<b>TOTAL NATIONAL FEE =</b>		\$ 1152.00
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property					+ \$ 0.00
Fee for Petition to Revive Unintentionally Abandoned Application (\$1240.00 – Small Entity = \$620.00)					\$ 0.00
			<b>TOTAL FEES ENCLOSED =</b>		\$ 1152.00
			Amount to be: refunded		\$
			Charged		\$
a. <input checked="" type="checkbox"/> A check in the amount of \$1152.00 to cover the above fees is enclosed.					
b. <input type="checkbox"/> Please charge my Deposit Account No. 14-1140 in the amount of \$ _____ to cover the above fees. A duplicate copy of this form is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>14-1140</u> . A <u>duplicate</u> copy of this form is enclosed.					
d. <input type="checkbox"/> The entire content of the foreign application(s), referred to in this application is/are hereby incorporated by reference in this application.					
NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:			SIGNATURE 		
NIXON & VANDERHYE P.C. 1100 North Glebe Road, 8 <sup>th</sup> Floor Arlington, Virginia 22201 Telephone: (703) 816-4000			Arthur R. Crawford NAME		
			25,327 March 6, 2001 REGISTRATION NUMBER Date		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

ARDAVAN et al.

Atty. Ref.: 117-342

Serial No. Unknown

Group:

Filed: March 6, 2001

Examiner:

For: APPARATUS FOR GENERATING FOCUSED ELECTROMAGNETIC RADIATION

\* \* \* \* \*

March 6, 2001

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

PRELIMINARY AMENDMENT

In order to place the above-identified application in better condition for examination,  
please amend the application as follows:

IN THE CLAIMS

Please cancel claims 1-20 without prejudice or disclaimer and add claims 21-49 as  
follows:

--21. An apparatus for generating electromagnetic radiation comprising:  
a polarizable or magnetizable medium; and mean of generating, in a controlled manner, a  
polarization or magnetisation current whose distribution pattern has an accelerated motion with a  
superluminal speed, so that the apparatus generates both a non-spherically decaying component  
and an intense spherically decaying component of electromagnetic radiation.

22. An apparatus according to claim 21, wherein the polarizable medium is a  
dielectric substrate.

23. An apparatus according to claim 22, wherein the means for generating the polarization current distribution is an array of electrode pairs positioned opposite to each other along the substrate and a voltage applied to the electrodes sequentially at a rate sufficient to induce a polarization current whose distribution pattern moves along the substrate with a speed exceeding the speed of light in vacuo.

24. An apparatus according to claim 21, wherein the spectrum of the emitted electromagnetic radiation contains frequencies that are higher than the characteristic frequency of modulations of the emitting current.

25. An apparatus according to claim 21, wherein the polarizable or magnetizable medium has the shape of a circle or an arc of the circle.

26. An apparatus according to claim 21, wherein the polarizable or magnetizable medium has a rectilinear shape.

27. An apparatus according to claim 26, wherein the distribution pattern of the current can be accelerated through the speed of light in such a way that the envelope of the wave fronts emitted by each volume element of this source possesses a cusp for a specific period of time.

28. A compact polarization synchrotron comprising an apparatus according to claim 24, arranged to generate intense, focussed pulses of electromagnetic radiation with high frequencies in the near zone.

29. A compact polarization synchrotron comprising an apparatus according to claim 25, arranged to generate intense, focussed pulses of electromagnetic radiation with high frequencies in the near zone.

30. A device according to claim 27, arranged for spectroscopy.

31. A device according to claim 28, arranged for spectroscopy.

32. A device according to claim 29, arranged for spectroscopy.

33. A device according to claim 27, arranged for silicon chip manufacture and semiconductor lithography at very fine length scales.

34. A device according to claim 28, arranged for silicon chip manufacture and semiconductor lithography at very fine length scales.

35. A device according to claim 29, arranged for silicon chip manufacture and semiconductor lithography at very fine length scales.

36. A broad-band telecommunications antenna comprising an apparatus according to claim 21, for conveying telephonic, visual and other electronic data over very long distances without significant attenuation.

37. A broad-band telecommunications antenna comprising an apparatus according to claim 27, and means for controlling the apparatus such that a generated pulse of electromagnetic radiation is focussed at a specific region of interest, distant from the antenna, for a specific period of time.

38. A network of antennae according to claim 36, arranged to expand the effective bandwidth of free space for terrestrial electromagnetic broadcasts and communications.

39. A network of antennae according to claim 37, arranged to expand the effective bandwidth of free space for terrestrial electromagnetic broadcasts and communications.

40. A highly compact aerial according to claim 36 to be used for hand-held portable phones and/or television/Internet connections.

41. A highly compact aerial according to claim 37 to be used for hand-held portable phones and/or television/Internet connections.

42. A highly compact aerial according to claim 38 to be used for hand-held portable phones and/or television/Internet connections.

43. A highly compact aerial according to claim 39 to be used for hand-held portable phones and/or television/Internet connections.

44. A device for medical diagnosis and treatment comprising an apparatus according to claim 27, and means for controlling the apparatus such that a generated pulse of

electromagnetic radiation is focussed at a specific region of interest within the body for a specific period of time.

45. A device according to claim 44, arranged to irradiate deep tumours selectively whilst sparing surrounding normal tissue.

46. A device according to claim 44, arranged for radiation pressure or thermocautery removal of thrombotic and embolic vascular lesions without invasive surgery.

47. A device according to claim 44, arranged for three-dimensional tomography.

48. A device according to claim 44, arranged for absorption spectroscopy of tissues.

49. A device according to claim 44, arranged for confocal scanning microscopy.--

**REMARKS**

The above amendments are made to place the claims in a more traditional format.

Respectfully submitted,

**NIXON & VANDERHYE P.C.**

By:



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APPARATUS FOR GENERATING FOCUSED  
ELECTROMAGNETIC RADIATION

The present invention relates to the generation of electromagnetic radiation and, more particularly, to an apparatus and method of generating focused pulses of electromagnetic radiation over a wide range of frequencies. More particularly it relates to an apparatus and method for generating pulses of non-spherically decaying electromagnetic radiation.

The present apparatus and method are based on the emission of electromagnetic radiation by rapidly varying polarisation or magnetisation current distributions rather than by conduction or convection electric currents. Such currents can have distribution patterns that move with arbitrary speeds (including speeds exceeding the speed of light *in vacuo*), and so can radiate more intensely over a much wider range of frequencies than their conventional counterparts. The spectrum of the radiation they generate could extend to frequencies that are by many orders of magnitude higher than the characteristic frequency of the fluctuations of the source itself.

Furthermore, intensities of normal emissions decay at a rate of  $R^{-2}$ , where  $R$  is the distance from the source. It has been noted, however, that the intensities of certain pulses of electromagnetic radiation can decay spatially at a lower rate than that predicted by this inverse square law (see Myers *et al.*, Phys. World, Nov. 1990, p. 39). The new solution of Maxwell's equations set out below, for example, predicts that the electromagnetic radiation emitted from superluminally, circularly moving charged patterns decays at a rate of  $R^{-1}$ . Another example is the electromagnetic radiation emitted from superluminally, rectilinearly moving charged patterns which decays at a rate of  $R^{-\frac{3}{2}}$ .

This emission process can be exploited, moreover, to generate waves which do not form themselves into a focused pulse until they arrive at their intended destination and which subsequently remain in focus only for an adjustable interval of time.

It will be widely appreciated that being able to employ such emissions for signal transmission, amongst other applications, would have significant commercial value, given that it would enable the employment of lower power transmitters and/or larger transmission ranges, the use of signals that cannot be intercepted by third parties, and the exploitation of higher bandwidth. The near-field component of the radiation in question has many features in common with, and so can be used as an alternative to, synchrotron radiation. The present invention provides a method and apparatus for generating such emissions.

According to the present invention there is provided an apparatus for

generating electromagnetic radiation comprising:  
a polarizable or magnetizable medium; and  
means of generating, in a controlled manner, a polarisation or magnetisation current with a rapidly moving, accelerating distribution pattern such that the moving source in question generates electromagnetic radiation.

The speed of the moving distribution pattern may be superluminal so that the apparatus generates both a non-spherically decaying component and an intense spherically decaying component of electromagnetic radiation.

The apparatus may comprise a dielectric substrate, a plurality of electrodes positioned adjacent to the substrate, and the means for applying a voltage to the electrodes sequentially at a rate sufficient to induce a polarised region in the substrate which moves along the substrate with a speed exceeding the speed of light. The dielectric substrate may have either a rectilinear or a circular shape.

The wavelength of the generated electromagnetic radiation may be in any range from the radio to a minimum determined only by the lower limit to the acceleration of the source (potentially optical, ultraviolet or even x-ray).

Examples of the present invention will now be described with reference to the accompanying drawings, in which:

Figure 1 is a diagram showing the wave fronts of the electromagnetic emission from a particular volume element (source point) *S* within the circularly moving polarised region of the polarizable medium of the present invention;

Figure 2 is a graph showing the value of a function representing the emission time versus the retarded position for differing source points *a*, *b*, *c* within the polarizable medium in question;

Figure 3 is a perspective view of the envelope of the wave fronts shown in Fig. 1;

Figure 4 is a view of the cusp curve of the envelope shown in Fig. 3;

Figure 5 is the locus of the possible source points which approach the observation point *P* along the radiation direction with the wave speed at the retarded time, a locus that is henceforth referred to as the bifurcation surface of the observer at *P*;

Figure 6 is a view of the cross sections of the bifurcation surface and the source distribution with a cylinder whose axis coincides with the rotation axis of the source;

Figures 7(a) and 7(b) are views of two examples of the apparatus of the present invention showing the dielectric substrate, the electrodes and a superluminally moving polarised region of the dielectric substrate;

Figure 8 is a diagram showing the wave fronts, and the envelope of the wave fronts, of the electromagnetic emission from a particular volume element

(source point)  $S$  within the rectilinearly moving, accelerating superluminal source of the present invention; and

Figure 9 shows the evolution in observation time of the relative positions and the envelope of a set of wave fronts emitted during a limited interval of retarded time; the snapshots (a)-(f) include times at which the envelope has not yet developed a cusp [(a) and (b)], has a cusp [(c)-(e)], and has already lost its cusp (f).

Prior to description of the invention, it is appropriate to discuss the principles underlying it.

Bolotovskii and Ginzburg (Soviet Phys. Usp. 15, 184, 1972) and Bolotovskii and Bykov (Soviet Phys. Usp. 33, 477, 1990) have shown that the coordinated motion of aggregates of charged particles can give rise to extended electric charges and currents whose distribution patterns propagate with a phase speed exceeding the speed of light *in vacuo* and that, once created, such propagating charged patterns act as sources of the electromagnetic fields in precisely the same way as any other moving sources of these fields. That these sources travel faster than light is not, of course, in any way incompatible with the requirements of special relativity. The superluminally moving pattern is created by the coordinated motion of aggregates of subluminally moving particles.

We have solved Maxwell's equations for the electromagnetic field that is generated by an extended source of this type in the case where the charged pattern rotates about a fixed axis with a constant angular frequency.

There are solutions of the homogeneous wave equation referred to, *inter alia*, as non-diffracting radiation beams, focus wave modes or electromagnetic missiles, which describe signals that propagate through space with unexpectedly slow rates of decay or spreading. The potential practical significance of such signals is clearly enormous. The search for *physically realizable* sources of them, however, has so far remained unsuccessful. Our calculation pinpoints a concrete example of the sources that are currently looked for in this field by establishing a physically tenable *inhomogeneous* solution of Maxwell's equations with the same characteristics.

Investigation of the present emission process was originally motivated by the observational data on pulsars. The radiation received from these celestial sources of radio waves consists of highly coherent pulses (with as high a brightness temperature as  $10^{30}$  °K) which recur periodically (with stable periods of the order of 1 sec). The intense magnetic field ( $\sim 10^{12}$  G) of the central neutron star in a pulsar affects a coupling between the rotation of this star and that of the distribution pattern of the plasma surrounding it, so that the magnetospheric charges and currents in these objects are of the same type as those described above. The effect responsible for the extreme

degree of coherence of the observed emission from pulsars, therefore, may well be the violation of the inverse square law that is here predicted by our calculation.

The present analysis is relevant also to the mathematically similar problem of the generation of acoustic radiation by supersonic propellers and helicopter rotors, although this is not discussed in detail here.

We begin by considering the waves that are emitted by an element of the superluminally rotating source from the standpoint of geometrical optics. Next, we calculate the amplitudes of these waves, i.e. the Green's function for the problem, from the retarded potential. We then specify the bifurcation surface of the observer and proceed to calculate the electromagnetic radiation arising from a superluminally moving *extended* source. The singularities of the integrands of the radiation integrals that occur on the bifurcation surface are here handled by means of the theory of generalised functions: the electric and magnetic fields are given by the Hadamard's finite parts of the divergent integrals that result from differentiating the retarded potential under the integral sign. The theory is then concluded with a descriptive account of the analysed emission process in more physical terms, the description of examples of the apparatus, and an outline of the applications of the invention.

### I. ENVELOPE OF THE WAVE FRONTS AND ITS CUSP

Consider a point source (an element of the propagating distribution pattern of a volume source) which moves on a circle of radius  $r$  with the constant angular velocity  $\omega \hat{\mathbf{e}}_z$ , i.e. whose path  $\mathbf{x}(t)$  is given, in terms of the cylindrical polar coordinates  $(r, \varphi, z)$ , by

$$r = \text{const.}, \quad z = \text{const.}, \quad \varphi = \hat{\varphi} + \omega t, \quad (1)$$

where  $\hat{\mathbf{e}}_z$  is the basis vector associated with  $z$ , and  $\hat{\varphi}$  the initial value of  $\varphi$ .

The wave fronts that are emitted by this point source in an empty and unbounded space are described by

$$|\mathbf{x}_P - \mathbf{x}(t)| = c(t_P - t), \quad (2)$$

where the constant  $c$  denotes the wave speed, and the coordinates  $(\mathbf{x}_P, t_P) = (r_P, \varphi_P, z_P, t_P)$  mark the spacetime of observation points. The distance  $R$  between the observation point  $\mathbf{x}_P$  and a source point  $\mathbf{x}$  is given by

$$|\mathbf{x}_P - \mathbf{x}| \equiv R(\varphi) = [(z_P - z)^2 + r_P^2 + r^2 - 2r_P r \cos(\varphi_P - \varphi)]^{\frac{1}{2}}, \quad (3)$$

so that inserting (1) in (2) we obtain

$$R(t) \equiv [(z_P - z)^2 + r_P^2 + r^2 - 2r_P r \cos(\varphi_P - \hat{\varphi} - \omega t)]^{\frac{1}{2}} = c(t_P - t). \quad (4)$$

These wave fronts are expanding spheres of radii  $c(t_P - t)$  whose fixed centres ( $r_P = r, \varphi_P = \hat{\varphi} + \omega t, z_P = z$ ) depend on their emission times  $t$  (see Fig. 1).

Introducing the natural length scale of the problem,  $c/\omega$ , and using  $t = (\varphi - \hat{\varphi})/\omega$  to eliminate  $t$  in favour of  $\varphi$ , we can express (4) in terms of dimensionless variables as

$$g \equiv \varphi - \varphi_P + \hat{R}(\varphi) = \phi, \quad (5)$$

in which  $\hat{R} \equiv R\omega/c$ , and

$$\phi \equiv \hat{\varphi} - \hat{\varphi}_P \quad (6)$$

stands for the difference between the positions  $\hat{\varphi} = \varphi - \omega t$  of the source point and  $\hat{\varphi}_P \equiv \varphi_P - \omega t_P$  of the observation point in the  $(r, \hat{\varphi}, z)$ -space. The Lagrangian coordinate  $\hat{\varphi}$  in (5) lies within an interval of length  $2\pi$  (e.g.  $-\pi < \hat{\varphi} \leq \pi$ ), while the angle  $\varphi$ , which denotes the azimuthal position of the source point at the retarded time  $t$ , ranges over  $(-\infty, \infty)$ .

Figure 1 depicts the wave fronts described by (5) for fixed values of  $(r, \hat{\varphi}, z)$  and of  $\phi$  (or  $t_P$ ), and a discrete set of values of  $\varphi$  (or  $t$ ). [In this

figure, the heavier curves show the cross section of the envelope with the plane of the orbit of the source. The larger of the two dotted circles designates the orbit ( $r = 3c/\omega$ ) and the smaller the light cylinder ( $r_P = c/\omega$ ).]

These wave fronts possess an envelope because when  $r > c/\omega$ , and so the speed of the source exceeds the wave speed, several wave fronts with differing emission times can pass through a single observation point simultaneously. Or stated mathematically, for certain values of the coordinates  $(r_P, \phi_P, z_P; r, z)$  the function  $g(\varphi)$  shown in Fig. 2 is oscillatory and so can equal  $\phi$  at more than one value of the retarded position  $\varphi$ : a horizontal line  $\phi = \text{constant}$  intersects the curve (a) in Fig. 2 at either one or three points. [Fig. 2 is drawn for  $\varphi_P = 0$ ,  $\hat{r}_P = 3$ ,  $\hat{r} = 2$  and (a)  $\hat{z} = \hat{z}_P$ , inside the envelope, (b)  $\hat{z} = \hat{z}_c$ , on the cusp curve of the envelope, (c)  $\hat{z} = 2\hat{z}_c - \hat{z}_P$ , outside the envelope. The marked adjacent turning points of curve (a) have the coordinates  $(\varphi_{\pm}, \phi_{\pm})$ , and  $\varphi_{\text{out}}$  represents the solution of  $g(\varphi) = \phi_0$  for a  $\phi_0$  that tends to  $\phi_-$  from below.]

Wave fronts become tangent to one another and so form an envelope at those points  $(r_P, \phi_P, z_P)$  for which two roots of  $g(\varphi) = \phi$  coincide. The equation describing this envelope can therefore be obtained by eliminating  $\varphi$  between  $g = \phi$  and  $\partial g / \partial \varphi = 0$ .

Thus, the values of  $\varphi$  on the envelope of the wave fronts are given by

$$\partial g / \partial \varphi = 1 - \hat{r} \hat{r}_P \sin(\varphi_P - \varphi) / \hat{R}(\varphi) = 0. \quad (7)$$

When the curve representing  $g(\varphi)$  is as in Fig. 2(a) (i.e.  $\hat{r} > 1$  and  $\Delta > 0$ ), this equation has the doubly infinite set of solutions  $\varphi = \varphi_{\pm} + 2n\pi$ , where

$$\varphi_{\pm} = \varphi_P + 2\pi - \arccos[(1 \mp \Delta^{\frac{1}{2}})/(\hat{r}\hat{r}_P)], \quad (8)$$

$$\Delta \equiv (\hat{r}_P^2 - 1)(\hat{r}^2 - 1) - (\hat{z} - \hat{z}_P)^2, \quad (9)$$

$n$  is an integer, and  $(\hat{r}, \hat{z}; \hat{r}_P, \hat{z}_P)$  stand for the dimensionless coordinates  $r\omega/c$ ,  $z\omega/c$ ,  $r_P\omega/c$  and  $z_P\omega/c$ , respectively. The function  $g(\varphi)$  is locally maximum at  $\varphi_+ + 2n\pi$  and minimum at  $\varphi_- + 2n\pi$ .

Inserting  $\varphi = \varphi_{\pm}$  in (5) and solving the resulting equation for  $\phi$  as a function of  $(\hat{r}_P, \hat{z}_P)$ , we find that the envelope of the wave fronts is composed of two sheets:

$$\phi = \phi_{\pm} \equiv g(\varphi_{\pm}) = 2\pi - \arccos[(1 \mp \Delta^{\frac{1}{2}})/(\hat{r}\hat{r}_P)] + \hat{R}_{\pm}, \quad (10)$$

in which

$$\hat{R}_{\pm} \equiv [(\hat{z} - \hat{z}_P)^2 + \hat{r}^2 + \hat{r}_P^2 - 2(1 \mp \Delta^{\frac{1}{2}})]^{\frac{1}{2}} \quad (11)$$

are the values of  $\hat{R}$  at  $\varphi = \varphi_{\pm}$ . For a fixed source point  $(r, \hat{\varphi}, z)$ , equation (10) describes a tube-like spiralling surface in the  $(r_P, \hat{\varphi}_P, z_P)$ -space of observation points that extends from the speed-of-light cylinder  $\hat{r}_P = 1$  to infinity. [A three-dimensional view of the light cylinder and the envelope of the wave fronts for the same source point ( $S$ ) as that in Fig. 1 is presented in Fig. 3 (only those parts of these surfaces are shown which lie within the cylindrical volume  $\hat{r}_P \leq 9$ ,  $-2.25 \leq \hat{z}_P - \hat{z} \leq 2.25$ ).]

The two sheets  $\phi = \phi_{\pm}$  of this envelope meet at a cusp. The cusp occurs along the curve

$$\phi = 2\pi - \arccos[1/(\hat{r}\hat{r}_P)] + (\hat{r}_P^2\hat{r}^2 - 1)^{\frac{1}{2}} \equiv \phi_c, \quad (12a)$$

$$\hat{z} = \hat{z}_P \pm (\hat{r}_P^2 - 1)^{\frac{1}{2}}(\hat{r}^2 - 1)^{\frac{1}{2}} \equiv \hat{z}_c, \quad (12b)$$

shown in Fig. 4 and constitutes the locus of points at which *three* different wave fronts intersect tangentially. [Figure 4 depicts the segment  $-15 \leq \hat{z}_P - \hat{z} \leq 15$  of the cusp curve of the envelope shown in Fig. 3. This curve touches—and is tangent to—the light cylinder at the point  $(\hat{r}_P = 1, \hat{z}_P = \hat{z}, \phi = \phi_c|_{\hat{r}_P=1})$  on the plane of the orbit.]

On the cusp curve  $\phi = \phi_c$ ,  $z = z_c$ , the function  $g(\varphi)$  has a point of inflection [Fig. 2(b)] and  $\partial^2 g / \partial \varphi^2$ , as well as  $\partial g / \partial \varphi$  and  $g$ , vanish at

$$\varphi = \varphi_P + 2\pi - \arccos[1/(\hat{r}\hat{r}_P)] \equiv \varphi_c. \quad (12c)$$

This, in conjunction with  $t = (\varphi - \hat{\varphi})/\omega$ , represents the common emission time of the three wave fronts that are mutually tangential at the cusp curve of the envelope.

In the highly superluminal regime, where  $\hat{r} \gg 1$ , the separation of the ordinates  $\phi_+$  and  $\phi_-$  of adjacent maxima and minima in Fig. 2(a) can be greater than  $2\pi$ . A horizontal line  $\phi = \text{constant}$  will then intersect the curve representing  $g(\varphi)$  at more than three points, and so give rise to simultaneously received contributions that are made at  $5, 7, \dots$ , distinct values of the retarded time. In such cases, the sheet  $\phi_-$  of the envelope (issuing from the conical apex of this surface) undergoes a number of intersections with the sheet  $\phi_+$  before reaching the cusp curve. We shall be concerned in this paper, however, mainly with source elements whose distances from the rotation axis do not appreciably exceed the radius  $c/\omega$  of the speed-of-light cylinder and so for which the equation  $g(\varphi) = \phi$  has at most three solutions.

At points of tangency of their fronts, the waves which interfere constructively to form the envelope propagate normal to the sheets  $\phi = \phi_{\pm}(r_P, z_P)$  of this surface, in the directions

$$\begin{aligned} \hat{n}_{\pm} &\equiv (c/\omega)\nabla_P(\phi_{\pm} - \phi) \\ &= \hat{e}_{r_P}[\hat{r}_P - \hat{r}_P^{-1}(1 \mp \Delta^{\frac{1}{2}})]/\hat{R}_{\pm} + \hat{e}_{\varphi_P}/\hat{r}_P + \hat{e}_{z_P}(\hat{z}_P - \hat{z})/\hat{R}_{\pm}, \end{aligned} \quad (13)$$

with the speed  $c$ . ( $\hat{e}_{r_P}$ ,  $\hat{e}_{\varphi_P}$  and  $\hat{e}_{z_P}$  are the unit vectors associated with the cylindrical coordinates  $r_P$ ,  $\varphi_P$  and  $z_P$  of the observation point, respectively.) Nevertheless, the resulting envelope is a rigidly rotating surface whose shape does not change with time: in the  $(r_P, \dot{\varphi}_P, z_P)$ -space, its conical apex is stationary at  $(r, \dot{\varphi}, z)$ , and its form and dimensions only depend on the constant parameter  $\hat{r}$ .

The set of waves that superpose coherently to form a particular section of the envelope or its cusp, therefore, cannot be the same (i.e. cannot have the same emission times) at different observation times. The packet of focused waves constituting any given segment of the cusp curve of the envelope, for instance, is constantly dispersed and reconstructed out of other waves. This one-dimensional caustic would not be unlimited in its extent, as shown in Fig. 4, unless the source is infinitely long-lived: only then would the duration of the source encompass the required intervals of emission time for every one of its constituent segments.

## II. AMPLITUDES OF THE WAVES GENERATED BY A POINT SOURCE

Our discussion has been restricted so far to the geometrical features of the emitted wave fronts. In this section we proceed to find the Lienard-Wiechert potential for these waves.

The scalar potential arising from an element of the moving volume source we have been considering is given by the retarded solution of the wave equation

$$\nabla'^2 G_0 - \partial^2 G_0 / \partial(ct')^2 = -4\pi\rho_0, \quad (14a)$$

in which

$$\rho_0(r', \varphi', z', t') = \delta(r' - r)\delta(\varphi' - \omega t' - \dot{\varphi})\delta(z' - z)/r' \quad (14b)$$

is the density of a point source of unit strength with the trajectory (1). In the absence of boundaries, therefore, this potential has the value

$$G_0(\mathbf{x}_P, t_P) = \int d^3x' dt' \rho_0(\mathbf{x}', t') \delta(t_P - t' - |\mathbf{x}_P - \mathbf{x}'|/c) / |\mathbf{x}_P - \mathbf{x}'| \quad (15a)$$

$$= \int_{-\infty}^{+\infty} dt' \delta[t_P - t' - R(t')/c] / R(t'), \quad (15b)$$

where  $R(t')$  is the function defined in (4) (see e.g. Jackson, *Classical Electrodynamics*, Wiley, New York 1975).

If we use (1) to change the integration variable  $t'$  in (15b) to  $\varphi$ , and express the resulting integrand in terms of the quantities introduced in (3), (5) and (6), we arrive at

$$G_0(r, r_P, \hat{\varphi} - \hat{\varphi}_P, z - z_P) = \int_{-\infty}^{+\infty} d\varphi \delta[g(\varphi) - \phi] / R(\varphi). \quad (16)$$

This can then be rewritten, by formally evaluating the integral, as

$$G_0 = \sum_{\varphi=\varphi_j} \frac{1}{R|\partial g/\partial \varphi|}, \quad (17)$$

where the angles  $\varphi_j$  are the solutions of the transcendental equation  $g(\varphi) = \phi$  in  $-\infty < \varphi < +\infty$  and correspond, in conjunction with (1), to the retarded times at which the source point  $(r, \hat{\varphi}, z)$  makes its contribution towards the value of  $G_0$  at the observation point  $(r_P, \hat{\varphi}_P, z_P)$ .

Equation (17) shows, in the light of Fig. 2, that the potential  $G_0$  of a point source is discontinuous on the envelope of the wave fronts: if we approach the envelope from outside, the sum in (17) has only a single term and yields a finite value for  $G_0$ , but if we approach this surface from inside, two of the  $\varphi_j$ 's coalesce at an extremum of  $g$  and (17) yields a divergent value for  $G_0$ . Approaching the sheet  $\phi = \phi_+$  or  $\phi = \phi_-$  of the envelope from inside this surface corresponds, in Fig. 2, to raising or lowering a horizontal line  $\phi = \phi_0 = \text{const.}$ , with  $\phi_- \leq \phi_0 \leq \phi_+$ , until it intersects the curve (a) of this figure at its maximum or minimum tangentially. At an observation point thus approached, the sum in (17) has three terms, two of which tend to infinity.

On the other hand, approaching a neighbouring observation point just outside the sheet  $\phi = \phi_-$  (say) of the envelope corresponds, in Fig. 2, to raising a horizontal line  $\phi = \phi_0 = \text{const.}$ , with  $\phi_0 \leq \phi_-$ , towards a limiting position in which it tends to touch curve (a) at its minimum. So long as it has not yet reached the limit, such a line intersects curve (a) at one point only. The equation  $g(\varphi) = \phi$  therefore has only a single solution  $\varphi = \varphi_{\text{out}}$  in this case which is different from both  $\varphi_+$  and  $\varphi_-$  and so at which  $\partial g/\partial \varphi$  is non-zero (see Fig. 2). The contribution that the source makes when located at  $\varphi = \varphi_{\text{out}}$  is received by both observers, but the constructively interfering waves that are emitted at the two retarded positions approaching  $\varphi_-$  only reach the observer inside the envelope.

The function  $G_0$  has an even stronger singularity at the cusp curve of the envelope. On this curve, all three of the  $\varphi_j$ 's coalesce [Fig. 2(b)] and each denominator in the expression in (17) both vanishes and has a vanishing derivative ( $\partial g/\partial \varphi = \partial^2 g/\partial \varphi^2 = 0$ ).

There is a standard asymptotic technique for evaluating radiation integrals with coalescing critical points that describe caustics. By applying this technique—which we have outlined in Appendix A—to the integral in (16), we can obtain a uniform asymptotic approximation to  $G_0$  for small  $|\phi_+ - \phi_-|$ , i.e. for points close to the cusp curve of the envelope where  $G_0$  is most singular. The result is

$$G_0^{\text{in}} \sim 2c_1^{-2}(1-\chi^2)^{-\frac{1}{2}}[p_0 \cos(\frac{1}{3} \arcsin \chi) - c_1 q_0 \sin(\frac{2}{3} \arcsin \chi)], \quad |\chi| < 1, \quad (18)$$

and

$$G_0^{\text{out}} \sim c_1^{-2}(\chi^2 - 1)^{-\frac{1}{2}}[p_0 \sinh(\frac{1}{3} \operatorname{arccosh} |\chi|) + c_1 q_0 \operatorname{sgn}(\chi) \sinh(\frac{2}{3} \operatorname{arccosh} |\chi|)], \quad |\chi| > 1, \quad (19)$$

where  $c_1$ ,  $p_0$ ,  $q_0$  and  $\chi$  are the functions of  $(r, z)$  defined in (A2), (A5), (A6) and (A10), and approximated in (A23)–(A30). The superscripts ‘in’ and ‘out’ designate the values of  $G_0$  inside and outside the envelope, and the variable  $\chi$  equals  $+1$  and  $-1$  on the sheets  $\phi = \phi_+$  and  $\phi = \phi_-$  of this surface, respectively.

The function  $G_0^{\text{out}}$  is indeterminate but finite on the envelope [*cf.* (A39)], whereas  $G_0^{\text{in}}$  diverges like  $\sqrt{3}c_1^{-2}(p_0 \mp c_1 q_0)/(1-\chi^2)^{\frac{1}{2}}$  as  $\chi \rightarrow \pm 1$ . The singularity structure of  $G_0^{\text{in}}$  close to the cusp curve is explicitly exhibited by

$$G_0^{\text{in}} \sim \frac{2}{3^{\frac{7}{2}}}(\omega/c)(\hat{r}^2 \hat{r}_P^2 - 1)^{-\frac{1}{2}} c_0^{\frac{1}{2}} (\hat{z}_c - \hat{z})^{\frac{1}{2}} / [c_0^3 (\hat{z}_c - \hat{z})^3 - (\phi_c - \phi)^2]^{\frac{1}{2}}, \quad (20)$$

in which  $0 \leq \hat{z}_c - \hat{z} \ll 1$ ,  $|\phi_c - \phi| \ll 1$  and

$$c_0 \equiv \frac{2}{3^{\frac{7}{2}}}(\hat{r}^2 \hat{r}_P^2 - 1)^{-1}(\hat{r}_P^2 - 1)^{\frac{1}{2}}(\hat{r}^2 - 1)^{\frac{1}{2}} \quad (21)$$

[see (18) and (A22)–(A26)]. It can be seen from this expression that both the singularity on the envelope (at which the quantity inside the square brackets vanishes) and the singularity at the cusp curve (at which  $\hat{z}_c - \hat{z}$  and  $\phi_c - \phi$  vanish) are integrable singularities.

The potential of a volume source, which is given by the superposition of the potentials  $G_0$  of its constituent volume elements, and so involves integrations with respect to  $(r, \hat{\phi}, z)$ , is therefore finite. Since they are created by the coordinated motion of aggregates of particles, the types of sources we have been considering cannot, of course, be point-like. It is only in the physically unrealizable case where a superluminal source is point-like that its potential has the extended singularities described above.

In fact, not only is the potential of an extended superluminally moving source singularity free, but it decays in the far zone like the potential of any other source. The following alternative form of the retarded solution to the wave equation  $\nabla^2 A_0 - \partial^2 A_0 / \partial(ct)^2 = -4\pi\rho$  [which may be obtained from (15a) by performing the integration with respect to time]:

$$A_0 = \int d^3x \rho(\mathbf{x}, t_P - |\mathbf{x} - \mathbf{x}_P|/c) / |\mathbf{x} - \mathbf{x}_P| \quad (22)$$

shows that if the density  $\rho$  of the source is finite and vanishes outside a finite volume, then the potential  $A_0$  decays like  $|\mathbf{x}_P|^{-1}$  as the distance  $|\mathbf{x}_P - \mathbf{x}| \simeq |\mathbf{x}_P|$  of the observer from the source tends to infinity.

### III. THE BIFURCATION SURFACE OF AN OBSERVER

Let us now consider an *extended* source which rotates about the  $z$ -axis with the constant angular frequency  $\omega$ . The density of such a source—when it has a distribution with an unchanging pattern—is given by

$$\rho(r, \varphi, z, t) = \rho(r, \hat{\varphi}, z), \quad (23)$$

where the Lagrangian variable  $\hat{\varphi}$  is defined by  $\varphi - \omega t$  as in (1), and  $\rho$  can be any function of  $(r, \hat{\varphi}, z)$  that vanishes outside a finite volume.

If we insert this density in the expression for the retarded scalar potential and change the variables of integration from  $(r, \varphi, z, t)$  to  $(r, \hat{\varphi}, z, t)$ , we obtain

$$A_0(\mathbf{x}_P, t_P) = \int d^3x dt \rho(\mathbf{x}, t) \delta(t_P - t - |\mathbf{x} - \mathbf{x}_P|/c) / |\mathbf{x} - \mathbf{x}_P| \quad (24a)$$

$$= \int r dr d\hat{\varphi} dz \rho(r, \hat{\varphi}, z) G_0(r, r_P, \hat{\varphi} - \hat{\varphi}_P, z - z_P), \quad (24b)$$

where  $G_0$  is the function defined in (16) which represents the scalar potential of a corresponding point source. That the potential of the extended source in question is given by the superposition of the potentials of the moving source points that constitute it is an advantage that is gained by marking the space of source points with the natural coordinates  $(r, \hat{\varphi}, z)$  of the source distribution. This advantage is lost if we use any other coordinates.

In Sec. II, where the source was point-like, the coordinates  $(r, \hat{\varphi}, z)$  of the source point in  $G_0(r, r_P, \hat{\varphi} - \hat{\varphi}_P, z - z_P)$  were held fixed and we were concerned with the behaviour of this potential as a function of the coordinates  $(r_P, \hat{\varphi}_P, z_P)$  of the observation point. When we superpose the potentials of

the volume elements that constitute an extended source, on the other hand, the coordinates  $(r_P, \hat{\phi}_P, z_P)$  are held fixed and we are primarily concerned with the behaviour of  $G_0$  as a function of the integration variables  $(r, \hat{\phi}, z)$ .

Because  $G_0$  is invariant under the interchange of  $(r, \hat{\phi}, z)$  and  $(r_P, \hat{\phi}_P, z_P)$  if  $\phi$  is at the same time changed to  $-\phi$  [see (5) and (16)], the singularity of  $G_0$  occurs on a surface in the  $(r, \hat{\phi}, z)$ -space of source points which has the same shape as the envelope shown in Fig. 3 but issues from the fixed point  $(r_P, \hat{\phi}_P, z_P)$  and spirals around the  $z$ -axis in the opposite direction to the envelope. [See Fig. 5 in which the light cylinder and the bifurcation surface associated with the observation point  $P$  are shown for a counterclockwise source motion. In this figure,  $P$  is located at  $\hat{r}_P = 9$ , and only those parts of these surfaces are shown which lie within the cylindrical volume  $\hat{r} \leq 11$ ,  $-1.5 \leq \hat{z} - \hat{z}_P \leq 1.5$ . The two sheets  $\phi = \phi_{\pm}(r, z)$  of the bifurcation surface meet along a cusp (a curve of the same shape as that shown in Fig. 4) that is tangent to the light cylinder. For an observation point in the far zone ( $\hat{r}_P \gg 1$ ), the spiralling surface that issues from  $P$  undergoes a large number of turns—in which its two sheets intersect one another—before reaching the light cylinder.]

In this paper, we refer to this locus of singularities of  $G_0$  as the *bifurcation surface* of the observation point  $P$ .

Consider an observation point  $P$  for which the bifurcation surface intersects the source distribution, as in Fig. 6. [In Fig. 6, the full curves depict the cross section, with the cylinder  $\hat{r} = 1.5$ , of the bifurcation surface of an observer located at  $\hat{r}_P = 3$ . (The motion of the source is counterclockwise.) Projection of the cusp curve of this bifurcation surface onto the cylinder  $\hat{r} = 1.5$  is shown as a dotted curve, and the region occupied by the source as a dotted area. In this figure the observer's position is such that one of the points  $(\phi = \phi_c, z = z_c)$  at which the cusp curve in question intersects the cylinder  $\hat{r} = 1.5$ —the one with  $z_c > 0$ —is located within the source distribution. As the radial position  $r_P$  of the observation point tends to infinity, the separation—at a finite distance  $z_c - z$  from  $(\phi_c, z_c)$ —of the shown cross sections decreases like  $r_P^{-\frac{3}{2}}$ .]

The envelope of the wave fronts emanating from a volume element of the part of the source that lies within this bifurcation surface encloses the point  $P$ , but  $P$  is exterior to the envelope associated with a source element that lies outside the bifurcation surface.

We have seen that three wave fronts—propagating in different directions—simultaneously pass an observer who is located inside the envelope of the waves emanating from a point source, and only one wavefront passes an observer outside this surface. Hence, in contrast to the source elements outside the bifurcation surface which influence the potential at  $P$  at only a single

value of the retarded time, this potential receives contributions from each of the elements inside the bifurcation surface at *three* distinct values of the retarded time.

The elements inside but adjacent to the bifurcation surface, for which  $G_0$  diverges, are sources of the constructively interfering waves that not only arrive at  $P$  simultaneously but also are emitted at the same (retarded) time. These source elements approach the observer along the radiation direction  $x_P - x$  with the wave speed at the retarded time, i.e. are located at distances  $R(t)$  from the observer for which

$$\left. \frac{dR}{dt} \right|_{t=t_P - R/c} = -c \quad (25)$$

[see (4), (7) and (8)]. Their accelerations at the retarded time,

$$\left. \frac{d^2R}{dt^2} \right|_{t=t_P - R/c} = \mp \frac{c\omega\Delta^{\frac{1}{2}}}{\hat{R}_\pm}, \quad (26)$$

are positive on the sheet  $\phi = \phi_-$  of the bifurcation surface and negative on  $\phi = \phi_+$ .

The source points on the *cusp curve* of the bifurcation surface, for which  $\Delta = 0$  and all three of the contributing retarded times coincide, approach the observer—according to (26)—with zero acceleration as well as with the wave speed.

From a radiative point of view, the most effective volume elements of the superluminal source in question are those that approach the observer along the radiation direction with the wave speed and zero acceleration at the retarded time, since the ratio of the emission to reception time intervals for the waves that are generated by these particular source elements generally exceeds unity by several orders of magnitude. On each constituent ring of the source distribution that lies outside the light cylinder ( $r = c/\omega$ ) in a plane of rotation containing the observation point, there are two volume elements that approach the observer with the wave speed at the retarded time: one whose distance from the observer diminishes with positive acceleration, and another for which this acceleration is negative. These two elements are closer to one another the smaller the radius of the ring. For the smallest of such constituent rings, i.e. for the one that lies on the light cylinder, the two volume elements in question coincide and approach the observer also with zero acceleration.

The other constituent rings of the source distribution (those on the planes of rotation which do not pass through the observation point) likewise

contain two such elements if their radii are large enough for their velocity  $r\omega e_\phi$  to have a component along the radiation direction equal to  $c$ . On the smallest possible ring in each plane, there is again a single volume element—at the limiting position of the two coalescing volume elements of the neighbouring larger rings—that moves towards the observer not only with the wave speed but also with zero acceleration.

For any given observation point  $P$ , the efficiently radiating pairs of volume elements on various constituent rings of the source distribution collectively form a surface: the part of the bifurcation surface associated with  $P$  which intersects the source distribution. The locus of the coincident pairs of volume elements, which is tangent to the light cylinder at the point where it crosses the plane of rotation containing the observer, constitutes the segment of the cusp curve of this bifurcation surface that lies within the source distribution.

Thus the bifurcation surface associated with any given observation point divides the volume of the source into two sets of elements with differing influences on the observed field. As in (18) and (19), the potentials  $G_0^{\text{in}}$  and  $G_0^{\text{out}}$  of the source elements inside and outside the bifurcation surface have different forms: the boundary  $|\chi(r, r_P, \hat{\varphi} - \hat{\varphi}_P, z - z_P)| = 1$  between the domains of validity of (18) and (19) delineates the envelope of wave fronts when the source point  $(r, \hat{\varphi}, z)$  is fixed and the coordinates  $(r_P, \hat{\varphi}_P, z_P)$  of the observation point are variable, and describes the bifurcation surface when the observation point  $(r_P, \hat{\varphi}_P, z_P)$  is fixed and the coordinates  $(r, \hat{\varphi}, z)$  of the source point sweep a volume.

The expression (24b) for the scalar potential correspondingly splits into the following two terms when the observation point is such that the bifurcation surface intersects the source distribution:

$$A_0 = \int dV \rho G_0 \quad (27a)$$

$$= \int_{V_{\text{in}}} dV \rho G_0^{\text{in}} + \int_{V_{\text{out}}} dV \rho G_0^{\text{out}}, \quad (27b)$$

where  $dV \equiv r dr d\hat{\varphi} dz$ ,  $V_{\text{in}}$  and  $V_{\text{out}}$  designate the portions of the source which fall inside and outside the bifurcation surface (see Fig. 6), and  $G_0^{\text{in}}$  and  $G_0^{\text{out}}$  denote the different expressions for  $G_0$  in these two regions.

Note that the boundaries of the volume  $V_{\text{in}}$  depend on the position  $(r_P, \hat{\varphi}_P, z_P)$  of the observer: the parameter  $\hat{r}_P$  fixes the shape and size of the bifurcation surface, and the position  $(r_P, \hat{\varphi}_P, z_P)$  of the observer specifies the location of the conical apex of this surface. When the observation point is such that the cusp curve of the bifurcation surface intersects the source distribution, the volume  $V_{\text{in}}$  is bounded by  $\phi = \phi_-$ ,  $\phi = \phi_+$ , and the part of

the source boundary  $\rho(r, \phi, z) = 0$  that falls within the bifurcation surface. The corresponding volume  $V_{\text{out}}$  is bounded by the same patches of the two sheets of the bifurcation surface and by the remainder of the source boundary.

In the vicinity of the cusp curve (12), i.e. for  $|\phi_c - \phi| \ll 1$  and  $0 \leq \hat{z}_c - \hat{z} \ll 1$ , the cross section of the bifurcation surface with a cylinder  $\hat{r}=\text{constant}$  is described by

$$\begin{aligned}\phi_{\pm} - \phi_c &\simeq -(\hat{r}^2 - 1)^{\frac{1}{2}}(\hat{r}_P^2 - 1)^{\frac{1}{2}}(\hat{r}^2 \hat{r}_P^2 - 1)^{-\frac{1}{2}}(\hat{z}_c - \hat{z}) \\ &\pm \frac{2^{\frac{3}{2}}}{3}(\hat{r}^2 - 1)^{\frac{3}{4}}(\hat{r}_P^2 - 1)^{\frac{3}{4}}(\hat{r}_P^2 \hat{r}^2 - 1)^{-\frac{3}{2}}(\hat{z}_c - \hat{z})^{\frac{3}{2}}\end{aligned}\quad (28)$$

[see (10)–(12) and (A26)]. This cross section, which is shown in Fig. 6, has two branches meeting at the intersections of the cusp curve with the cylinder  $\hat{r} = \text{constant}$  whose separation in  $\phi$ —at a given  $(\hat{z}_c - \hat{z})$ —diminishes like  $\hat{r}_P^{-\frac{3}{2}}$  in the limit  $\hat{r}_P \rightarrow \infty$ . Thus, at finite distances  $\hat{z}_c - \hat{z}$  from the cusp curve, the two sheets  $\phi = \phi_-$  and  $\phi = \phi_+$  of the bifurcation surface coalesce and become coincident with the surface  $\phi = \frac{1}{2}(\phi_- + \phi_+) \equiv c_2$  as  $\hat{r}_P \rightarrow \infty$ . That is to say, the volume  $V_{\text{in}}$  vanishes like  $\hat{r}_P^{-\frac{3}{2}}$ .

Because the dominant contributions towards the value of the radiation field come from those source elements that approach the observer—along the radiation direction—with the wave speed and zero acceleration at the retarded time, in what follows, we shall be primarily interested in far-field observers the cusp curves of whose bifurcation surfaces intersect the source distribution. For such observers, the Green's function  $\lim_{\hat{r}_P \rightarrow \infty} G_0$  undergoes a jump discontinuity across the coalescing sheets of the bifurcation surface: the values of  $\chi$  on the sheets  $\phi = \phi_{\pm}$ , and hence the functions  $G_0^{\text{out}}|_{\phi=\phi_-}$  and  $G_0^{\text{out}}|_{\phi=\phi_+}$ , remain different even in the limit where  $\phi = \phi_-$  and  $\phi = \phi_+$  coincide [cf. (A10) and (A39)].

#### IV. DERIVATIVES OF THE RADIATION INTEGRALS AND THEIR HADAMARD'S FINITE PARTS

##### A. Gradient of the scalar potential

In this section we begin the calculation of the electric and magnetic fields by finding the gradient of the scalar potential  $A_0$ , i.e. by calculating the derivatives of the integral in (27a) with respect to the coordinates  $(r_P, \varphi_P, z_P)$  of the observation point.

If we regard its singular kernel  $G_0$  as a classical function, then the integral in (27a) is improper and cannot be differentiated under the integral sign without characterizing and duly handling the singularities of its integrand. On the other hand, if we regard  $G_0$  as a generalized function, then it would

be mathematically permissible to interchange the orders of differentiation and integration when calculating  $\nabla_P A_0$ .

This interchange results in a new kernel  $\nabla_P G_0$  whose singularities are non-integrable. However, the theory of generalized functions prescribes a well-defined procedure for obtaining the physically relevant value of the resulting divergent integral, a procedure involving integration by parts which extracts the so-called Hadamard's finite part of this integral (see e.g. Hoskins, *Generalised Functions*, Ellis Horwood, London 1979). Hadamard's finite part of the divergent integral representing  $\nabla_P A_0$  yields the value that we would have obtained if we had first evaluated the original integral for  $A_0$  as an explicit function of  $(r_P, \hat{\varphi}_P, z_P)$  and then differentiated it.

From the standpoint of the theory of generalized functions, therefore, differentiation of (27a) yields

$$\nabla_P A_0 = \int dV \rho \nabla_P G_0 = (\nabla_P A_0)_{\text{in}} + (\nabla_P A_0)_{\text{out}}, \quad (29a)$$

in which

$$(\nabla_P A_0)_{\text{in,out}} \equiv \int_{V_{\text{in,out}}} dV \rho \nabla_P G_0^{\text{in,out}}. \quad (29b)$$

Since  $\rho$  vanishes outside a finite volume, the integral in (27a) extends over all values of  $(r, \hat{\varphi}, z)$  and so there is no contribution from the limits of integration towards the derivative of this integral.

The kernels  $\nabla_P G_0^{\text{in,out}}$  of the above integrals may be obtained from (16). Applying  $\nabla_P$  to the right-hand side of (16) and interchanging the orders of differentiation and integration, we obtain an integral representation of  $\nabla_P G_0$  consisting of two terms: one arising from the differentiation of  $R$  which decays like  $r_P^{-2}$  as  $r_P \rightarrow \infty$  and so makes no contribution to the field in the radiation zone, and another that arises from the differentiation of the Dirac delta function and decays less rapidly than  $r_P^{-2}$ . For an observation point in the radiation zone, we may discard terms of the order of  $r_P^{-2}$  and write

$$\nabla_P G_0 \simeq (\omega/c) \int_{-\infty}^{+\infty} d\varphi R^{-1} \delta'(g - \phi) \hat{n}, \quad \hat{r}_P \gg 1, \quad (30)$$

in which  $\delta'$  is the derivative of the Dirac delta function with respect to its argument and

$$\hat{n} \equiv \hat{e}_{r_P} [\hat{r}_P - \hat{r} \cos(\varphi - \varphi_P)]/\hat{R} + \hat{e}_{\varphi_P}/\hat{r}_P + \hat{e}_{z_P} (\hat{z}_P - \hat{z})/\hat{R}. \quad (31)$$

Equation (30) yields  $\nabla_P G_0^{\text{in}}$  or  $\nabla_P G_0^{\text{out}}$  depending on whether  $\phi$  lies within the interval  $(\phi_-, \phi_+)$  or outside it.

If we now insert (30) in (29b) and perform the integrations with respect to  $\hat{\varphi}$  by parts, we find that

$$(\nabla_P A_0)_{\text{in}} \simeq (\omega/c) \int_S r dr dz \left\{ - \left[ \rho G_1^{\text{in}} \right]_{\phi=\phi_-}^{\phi=\phi_+} + \int_{\phi_-}^{\phi_+} d\phi \partial \rho / \partial \hat{\varphi} G_1^{\text{in}} \right\}, \quad \hat{r}_P \gg 1, \quad (32)$$

and

$$\begin{aligned} (\nabla_P A_0)_{\text{out}} \simeq & (\omega/c) \int_S r dr dz \left\{ \left[ \rho G_1^{\text{out}} \right]_{\phi=\phi_-}^{\phi=\phi_+} \right. \\ & \left. + \left( \int_{-\pi}^{\phi_-} + \int_{\phi_+}^{+\pi} \right) d\phi \partial \rho / \partial \hat{\varphi} G_1^{\text{out}} \right\}, \quad \hat{r}_P \gg 1, \end{aligned} \quad (33)$$

in which  $S$  stands for the projection of  $V_{\text{in}}$  onto the  $(r, z)$ -plane, and  $G_1^{\text{in}}$  and  $G_1^{\text{out}}$  are given by the values of

$$G_1 \equiv \int_{-\infty}^{+\infty} d\varphi R^{-1} \delta(g - \varphi) \hat{\mathbf{n}} = \sum_{\varphi=\varphi_j} R^{-1} |\partial g / \partial \varphi|^{-1} \hat{\mathbf{n}} \quad (34)$$

for  $\phi$  inside and outside the interval  $(\phi_-, \phi_+)$ , respectively.

Like  $G_0^{\text{in}}$ , the Green's function  $G_1^{\text{in}}$  diverges on the bifurcation surface  $\phi = \phi_{\pm}$ , where  $\partial g / \partial \varphi$  vanishes, but this singularity of  $G_0^{\text{in}}$  is integrable so that the value of the second integral in (32) is finite (see Sec. II and Appendix A). Hadamard's finite part of  $(\nabla_P A_0)_{\text{in}}$  (denoted by the prefix Fp) is obtained by simply discarding those 'integrated' or boundary terms in (32) which diverge. Hence, the physically relevant quantity  $\text{Fp}\{(\nabla_P A_0)_{\text{in}}\}$  consists—in the far zone—of the volume integral in (32).

Let us choose an observation point for which the cusp curve of the bifurcation surface intersects the source distribution (see Fig. 6). When the dimensions ( $\sim L$ ) of the source are negligibly smaller than those of the bifurcation surface (i.e. when  $L \ll r_P$  and so  $z_c - z \ll r_P$  throughout the source distribution) the functions  $G_1^{\text{in,out}}$  in (32) and (33) can be approximated by their asymptotic values (A34) and (A35) in the vicinity of the cusp curve (see Appendix A).

According to (A34), (A36) and (A44),  $G_1^{\text{in}}$  decays like  $p_1/c_1^2 = O(1)$  at points interior to the bifurcation surface where  $\lim_{R_P \rightarrow \infty} \chi$  remains finite. Since the separation of the two sheets of the bifurcation surface diminishes like  $\hat{r}_P^{-\frac{3}{2}}$  within the source [see (28)], it therefore follows that the volume integral in (32) is of the order of  $1 \times \hat{r}_P^{-\frac{3}{2}}$ , a result which can also be inferred from the far-field version of (A34) by explicit integration. Hence,

$$\text{Fp}\{(\nabla_P A_0)_{\text{in}}\} = O(\hat{r}_P^{-\frac{3}{2}}), \quad \hat{r}_P \gg 1, \quad (35)$$

decays too rapidly to make any contribution towards the value of the electric field in the radiation zone.

Because  $\mathbf{G}_1^{\text{out}}$  is, in contrast to  $\mathbf{G}_1^{\text{in}}$ , finite on the bifurcation surface, both the surface and the volume integrals on the right-hand side of (33) have finite values. Each component of the second term has the same structure as the expression for the potential itself and so decays like  $r_P^{-1}$  (see the ultimate paragraph of Sec. II). But the first term—which would have cancelled the corresponding boundary term in (32) and so would not have survived in the expression for  $\nabla_P A_0$  had the Green's function  $\mathbf{G}_1$  been continuous—behaves differently from any conventional contribution to a radiation field.

Insertion of (A39) in (33) yields the following expression for the asymptotic value of this boundary term in the limit where the observer is located in the far zone and the source is localized about the cusp curve of his (her) bifurcation surface:

$$\int r dr dz \left[ \rho \mathbf{G}_1^{\text{out}} \right]_{\phi_-}^{\phi_+} \sim \frac{1}{3} c_1^{-2} \int r dr dz [\mathbf{p}_1(\rho|_{\phi_+} - \rho|_{\phi_-}) + 2c_1 \mathbf{q}_1(\rho|_{\phi_+} + \rho|_{\phi_-})]. \quad (36)$$

In this limit, the two sheets of the bifurcation surface are essentially coincident throughout the domain of integration in (36) [see (28)]. So the difference between the values of the source density on these two sheets of the bifurcation surface is negligibly small ( $\sim \hat{r}_P^{-\frac{3}{2}}$ ) for a smoothly distributed source and the functions  $\rho|_{\phi_{\pm}}$  appearing in the integrand of (36) may correspondingly be approximated by their common limiting value  $\rho_{\text{bs}}(r, z)$  on these coalescing sheets.

Once the functions  $\rho|_{\phi_{\pm}}$  are approximated by  $\rho_{\text{bs}}(r, z)$  and  $\mathbf{q}_1$  by (A41), equation (36) yields an expression which can be written, to within the leading order in the far-field approximation  $\hat{r}_P \gg 1$  [see (A44) and (A45)], as

$$\begin{aligned} \int_S r dr dz \left[ \rho \mathbf{G}_1^{\text{out}} \right]_{\phi_-}^{\phi_+} &\sim 2^{\frac{3}{2}} (c/\omega)^2 \hat{r}_P^{-\frac{3}{2}} \int_{\hat{r}_<}^{\hat{r}_>} d\hat{r} (\hat{r}^2 - 1)^{-\frac{1}{4}} \mathbf{n}_1 \\ &\quad \times \int_{\hat{z}_c - L_z \omega/c}^{\hat{z}_c} d\hat{z} (\hat{z}_c - \hat{z})^{-\frac{1}{2}} \rho_{\text{bs}}(r, z) \\ &\sim 2^{\frac{5}{2}} (c/\omega)^2 \hat{r}_P^{-\frac{3}{2}} \int_{\hat{r}_<}^{\hat{r}_>} d\hat{r} (\hat{r}^2 - 1)^{-\frac{1}{4}} \mathbf{n}_1 (L_z \omega/c)^{\frac{1}{2}} \langle \rho_{\text{bs}} \rangle, \end{aligned} \quad (37)$$

with

$$\langle \rho_{\text{bs}} \rangle(r) \equiv \int_0^1 d\eta \rho_{\text{bs}}(r, z) \Big|_{z=z_c - \eta^2 L_z}, \quad (38)$$

where  $z_c - L_z(r) \leq z \leq z_c$  and  $r_< \leq r \leq r_>$  are the intervals over which the bifurcation surface intersects the source distribution (see Fig. 6). The

quantity  $\langle \rho_{\text{bs}} \rangle(r)$  may be interpreted, at any given  $r$ , as a weighted average—over the intersection of the coalescing sheets of the bifurcation surface with the plane  $z = z_c - \eta^2 L_z$ —of the source density  $\rho$ .

The right-hand side of (37) decays like  $r_P^{-\frac{3}{2}}$  as  $r_P \rightarrow \infty$ . The second term in (33) thus dominates the first term in this equation, and so the quantity  $(\nabla_P A_0)_{\text{out}}$  itself decays like  $r_P^{-1}$  in the far zone.

## B. Time derivative of the vector potential

Inasmuch as the charge density (23) has an unchanging distribution pattern in the  $(r, \hat{\varphi}, z)$ -frame, the electric current density associated with the moving source we have been considering is given by

$$\mathbf{j}(\mathbf{x}, t) = r\omega\rho(r, \hat{\varphi}, z)\hat{\mathbf{e}}_\varphi, \quad (39)$$

in which  $r\omega\hat{\mathbf{e}}_\varphi = r\omega[-\sin(\varphi - \varphi_P)\hat{\mathbf{e}}_{r_P} + \cos(\varphi - \varphi_P)\hat{\mathbf{e}}_{\varphi_P}]$  is the velocity of the element of the source pattern that is located at  $(r, \varphi, z)$ . This current satisfies the continuity equation  $\partial\rho/\partial(ct) + \nabla \cdot \mathbf{j} = 0$  automatically.

In the Lorentz gauge, the retarded vector potential corresponding to (24a) has the form

$$\mathbf{A}(\mathbf{x}_P, t_P) = c^{-1} \int d^3x dt \mathbf{j}(\mathbf{x}, t) \delta(t_P - t - |\mathbf{x} - \mathbf{x}_P|/c) / |\mathbf{x} - \mathbf{x}_P|. \quad (40)$$

If we insert (39) in (40) and change the variables of integration from  $(r, \varphi, z, t)$  to  $(r, \hat{\varphi}, z, \hat{\varphi})$ , as in (24), we obtain

$$\mathbf{A} = \int dV \hat{r} \rho(r, \hat{\varphi}, z) \mathbf{G}_2(r, r_P, \hat{\varphi} - \hat{\varphi}_P, z - z_P), \quad (41)$$

in which  $dV = r dr d\hat{\varphi} dz$ , the vector  $\mathbf{G}_2$ —which plays the role of a Green's function—is given by

$$\mathbf{G}_2 \equiv \int_{-\infty}^{+\infty} d\varphi \hat{\mathbf{e}}_\varphi \delta[g(\varphi) - \phi] / R(\varphi) = \sum_{\varphi=\varphi_j} R^{-1} |\partial g / \partial \varphi|^{-1} \hat{\mathbf{e}}_\varphi, \quad (42)$$

and  $g$  and  $\varphi_j$ s are the same quantities as those appearing in (17) (see also Fig. 2).

Because (17), (34) and (42) have the factor  $|\partial g / \partial \varphi|^{-1}$  in common, the function  $\mathbf{G}_2$  has the same singularity structure as those of  $\mathbf{G}_0$  and  $\mathbf{G}_1$ : it diverges on the bifurcation surface  $\partial g / \partial \varphi = 0$  if this surface is approached from inside, and it is most singular on the cusp curve of the bifurcation surface

where in addition  $\partial^2 g / \partial \varphi^2 = 0$ . It is, moreover, described by two different expressions,  $G_2^{in}$  and  $G_2^{out}$ , inside and outside the bifurcation surface whose asymptotic values in the neighbourhood of the cusp curve have exactly the same functional forms as those found in (18) and (19); the only difference being that  $p_0$  and  $q_0$  in these expressions are replaced by the  $p_2$  and  $q_2$  given in (A37) (see Appendix A).

As in (29), therefore, the time derivative of the vector potential has the form  $\partial \mathbf{A} / \partial t_P = (\partial \mathbf{A} / \partial t_P)_{in} + (\partial \mathbf{A} / \partial t_P)_{out}$  with

$$(\partial \mathbf{A} / \partial t_P)_{in,out} \equiv -\omega \int_{V_{in,out}} dV \hat{r} \rho \partial G_2^{in,out} / \partial \hat{\varphi}_P \quad (43)$$

when the observation point is such that the bifurcation surface intersects the source distribution.

The functions  $G_2^{in,out}$  depend on  $\hat{\varphi}_P$  and  $\hat{\varphi}$  in the combination  $\hat{\varphi} - \hat{\varphi}_P$  only. We can therefore replace  $\partial / \partial \hat{\varphi}_P$  in (43) by  $-\partial / \partial \hat{\varphi}$  and perform the integration with respect to  $\hat{\varphi}$  by parts to arrive at

$$(\partial \mathbf{A} / \partial t_P)_{in} = c \int_S dr dz \hat{r}^2 \left\{ \left[ \rho G_2^{in} \right]_{\phi=\phi_-}^{\phi=\phi_+} - \int_{\phi_-}^{\phi_+} d\phi \partial \rho / \partial \hat{\varphi} G_2^{in} \right\}, \quad (44)$$

and

$$\begin{aligned} (\partial \mathbf{A} / \partial t_P)_{out} &= -c \int_S dr dz \hat{r}^2 \left\{ \left[ \rho G_2^{out} \right]_{\phi=\phi_-}^{\phi=\phi_+} \right. \\ &\quad \left. + \left( \int_{-\pi}^{\phi_-} + \int_{\phi_+}^{+\pi} \right) d\phi \partial \rho / \partial \hat{\varphi} G_2^{out} \right\}. \end{aligned} \quad (45)$$

For the same reasons as those given in the paragraphs following (32) and (33), Hadamard's finite part of  $(\partial \mathbf{A} / \partial t_P)_{in}$  consists of the volume integral in (44) and is of the order of  $\hat{r}_P^{-\frac{3}{2}}$  [note that according to (A37) and (A42),  $p_2 \gg c_1 q_2$  and  $p_2/c_1^2 = O(1)$ ]. The volume integral in (45), moreover, decays like  $\hat{r}_P^{-1}$ , as does its counterpart in (33).

The part of  $\partial \mathbf{A} / \partial t_P$  that decays more slowly than conventional contributions to a radiation field is the boundary term in (45). The asymptotic value of this term is given by an expression similar to that appearing in (36), except that  $p_1$  and  $q_1$  are replaced by  $p_2$  and  $q_2$ . Once the quantities  $\rho|_{\phi_{\pm}}$  and  $q_2$  in the expression in question are approximated by  $\rho_{bs}$  and by (A42), as before, it follows that

$$\begin{aligned} (\partial \mathbf{A} / \partial t_P)_{out} &\sim -c \int_S dr dz \hat{r}^2 \left[ \rho G_2^{out} \right]_{\phi_-}^{\phi_+} \sim -\frac{4}{3} c \int_S dr dz \hat{r}^2 \rho_{bs} c_1^{-1} q_2 \\ &\sim -\frac{2^{\frac{5}{2}}}{3} (c^2 / \omega) \hat{r}_P^{-\frac{1}{2}} \hat{\mathbf{e}}_{\varphi_P} \int_{\hat{r}_<}^{\hat{r}_>} d\hat{r} \hat{r}^2 (\hat{r}^2 - 1)^{-\frac{1}{4}} \int_{\hat{z}_c - L_z \omega / c}^{\hat{z}_c} d\hat{z} (\hat{z}_c - \hat{z})^{-\frac{1}{2}} \rho_{bs} \end{aligned} \quad (46)$$

This behaves like  $\hat{r}_P^{-\frac{1}{2}}$  as  $\hat{r}_P \rightarrow \infty$  since the  $\hat{z}$ -quadrature in (46) has the finite value  $2(L_z\omega/c)^{\frac{1}{2}}\langle\rho_{bs}\rangle$  in this limit [see (37) *et seq.*].

Hence, the electric field vector of the radiation

$$\begin{aligned} \mathbf{E} &= -\nabla_P A_0 - \partial \mathbf{A} / \partial (ct_P) \sim -c^{-1}(\partial \mathbf{A} / \partial t_P)_{\text{out}} \\ &\sim \frac{2\frac{1}{2}}{3}(c/\omega)\hat{r}_P^{-\frac{1}{2}}\hat{\mathbf{e}}_{\varphi_P} \int_{\hat{r}_<}^{\hat{r}_>} d\hat{r}\hat{r}^2(\hat{r}^2 - 1)^{-\frac{1}{4}}(L_z\omega/c)^{\frac{1}{2}}\langle\rho_{bs}\rangle \end{aligned} \quad (47)$$

itself decays like  $r_P^{-\frac{1}{2}}$  in the far zone: as we have already seen in Sec. IV(A), the term  $\nabla_P A_0$  has the conventional rate of decay  $r_P^{-1}$  and so is negligible relative to  $(\partial \mathbf{A} / \partial t_P)_{\text{out}}$ .

### C. Curl of the vector potential

There are no contributions from the limits of integration towards the curl of the integral in (41) because  $\rho$  vanishes outside a finite volume and so the integral in this equation extends over all values of  $(r, \varphi, z)$ . Hence, differentiation of (41) yields

$$\mathbf{B} = \nabla_P \times \mathbf{A} = \mathbf{B}_{\text{in}} + \mathbf{B}_{\text{out}}, \quad (48a)$$

in which

$$\mathbf{B}_{\text{in,out}} \equiv \int_{V_{\text{in,out}}} dV \hat{r} \rho \nabla_P \times \mathbf{G}_2^{\text{in,out}}. \quad (48b)$$

Operating with  $\nabla_P \times$  on the first member of (42) and ignoring the term that decays like  $r_P^{-2}$ , as in (30), we find that the kernels  $\nabla_P \times \mathbf{G}_2^{\text{in}}$  and  $\nabla_P \times \mathbf{G}_2^{\text{out}}$  of (48b) are given—in the radiation zone—by the values of

$$\nabla_P \times \mathbf{G}_2 \simeq (\omega/c) \int_{-\infty}^{+\infty} d\varphi R^{-1} \delta'(g - \phi) \hat{\mathbf{n}} \times \hat{\mathbf{e}}_{\varphi}, \quad \hat{r}_P \gg 1, \quad (49)$$

for  $\phi$  inside and outside the interval  $(\phi_-, \phi_+)$ , respectively. [ $\hat{\mathbf{n}}$  is the unit vector defined in (31).]

Insertion of (49) in (48) now yields expressions whose  $\hat{\varphi}$ -quadratures can be evaluated by parts to arrive at

$$\mathbf{B}_{\text{in}} \simeq \int_S dr dz \hat{r}^2 \left\{ - \left[ \rho \mathbf{G}_3^{\text{in}} \right]_{\phi=\phi_-}^{\phi=\phi_+} + \int_{\phi_-}^{\phi_+} d\phi \partial \rho / \partial \hat{\varphi} \mathbf{G}_3^{\text{in}} \right\}, \quad \hat{r}_P \gg 1, \quad (50)$$

and

$$\begin{aligned} \mathbf{B}_{\text{out}} \simeq \int_S dr dz \hat{r}^2 &\left\{ \left[ \rho \mathbf{G}_3^{\text{out}} \right]_{\phi=\phi_-}^{\phi=\phi_+} \right. \\ &\left. + \left( \int_{-\pi}^{\phi_-} + \int_{\phi_+}^{+\pi} \right) d\phi \partial \rho / \partial \hat{\varphi} \mathbf{G}_3^{\text{out}} \right\}, \quad \hat{r}_P \gg 1, \end{aligned} \quad (51)$$

where  $G_3^{in}$  and  $G_3^{out}$  stand for the values of

$$G_3 \equiv \int_{-\infty}^{+\infty} d\varphi R^{-1} \delta(g - \varphi) \hat{n} \times \hat{e}_\varphi = \sum_{\varphi=\varphi_j} R^{-1} |\partial g / \partial \varphi|^{-1} \hat{n} \times \hat{e}_\varphi \quad (52)$$

inside and outside the bifurcation surface.

Once again, owing to the presence of the factor  $|\partial g / \partial \varphi|^{-1}$  in  $G_3^{in}$ , the first term in (50) is divergent so that the Hadamard's finite part of  $B_{in}$  consists of the volume integral in this equation, an integral whose magnitude is of the order of  $\hat{r}_P^{-\frac{3}{2}}$  [see the paragraph containing (35) and note that, according to (A38) and (A44),  $p_3 \gg c_1 q_3$  and  $p_3/c_1^2 = O(1)$ ]. The second term in (51) has—like those in (33) and (45)—the conventional rate of decay  $\hat{r}_P^{-1}$ . Moreover, the surface integral in (51)—which would have had the same magnitude as the surface integral in (50) and so would have cancelled out of the expression for  $B$  had  $G_3^{in}$  and  $G_3^{out}$  matched smoothly across the bifurcation surface—decays as slowly as the corresponding term in (45).

The asymptotic value of  $G_3$  for source points close to the cusp curve of the bifurcation surface has been calculated in Appendix A. It follows from this value of  $G_3$  and from (51), (52), (A40), (A44) and (A45) that, in the radiation zone,

$$\begin{aligned} B &\sim \int_S dr dz \hat{r}^2 \left[ \rho G_3^{out} \right]_{\phi_-}^{\phi_+} \sim \frac{4}{3} \int_S dr dz \hat{r}^2 \rho_{bs} c_1^{-1} \mathbf{q}_3 \\ &\sim \frac{2\frac{2}{3}}{3} (c/\omega) \hat{r}_P^{-\frac{1}{2}} \int_{\hat{r}_c}^{\hat{r}_P} d\hat{r} \hat{r}^2 (\hat{r}^2 - 1)^{-\frac{1}{4}} \int_{\hat{z}_c - L_{f\omega/c}}^{\hat{z}_c} d\hat{z} (\hat{z}_c - \hat{z})^{-\frac{1}{2}} \rho_{bs} \mathbf{n}_3 \end{aligned} \quad (53)$$

to within the order of the approximation entering (37) and (46).

The far-field version of the radial unit vector defined in (31) assumes the form

$$\lim_{r_P \rightarrow \infty} \hat{n}|_{\phi=\phi_c, \hat{z}=\hat{z}_c} = \hat{r}^{-1} \hat{e}_{r_P} - (1 - \hat{r}^{-2})^{\frac{1}{2}} \hat{e}_{z_P} \quad (54)$$

on the cusp curve of the bifurcation surface [see (12b), (13) and (A27), and note that the position of the observer is here assumed to be such that the segment of the cusp curve lying within the source distribution is described by the expression with the plus sign in (12b), as in Fig. 6]. So,  $\mathbf{n}_3$  equals  $\hat{n} \times \hat{e}_{\varphi_P}$  in the regime of validity of (53) [see (A45)]. Moreover,  $\hat{n}$  can be replaced by its far-field value

$$\hat{n} \simeq (r_P \hat{e}_{r_P} + z_P \hat{e}_{z_P}) / R_P, \quad R_P \rightarrow \infty, \quad (55)$$

if it is borne in mind that (53) holds true only for an observer the cusp curve of whose bifurcation surface intersects the source distribution.

Once  $\mathbf{n}_3$  in (53) is approximated by  $\hat{\mathbf{n}} \times \hat{\mathbf{e}}_{\varphi_P}$  and the resulting  $\hat{z}$ -quadrature is expressed in terms of  $\langle \rho_{bs} \rangle$  [see (38)], this equation reduces to

$$\mathbf{B} \sim \hat{\mathbf{n}} \times \mathbf{E}, \quad (56)$$

where  $\mathbf{E}$  is the electric field vector earlier found in (47). Equations (47) and (56) jointly describe a radiation field whose polarization vector lies along the direction of motion of the source,  $\hat{\mathbf{e}}_{\varphi_P}$ .

Note that there has been no contribution toward the values of  $\mathbf{E}$  and  $\mathbf{B}$  from inside the bifurcation surface. These quantities have arisen in the above calculation solely from the jump discontinuities in the values of the Green's functions  $G_1^{\text{out}}$ ,  $G_2^{\text{out}}$  and  $G_3^{\text{out}}$  across the coalescing sheets of the bifurcation surface. We would have obtained the same results had we simply excised the vanishingly small volume  $\lim_{r_P \rightarrow \infty} V_{in}$  from the domains of integration in (29), (43) and (48).

Note also that the way in which the familiar relation (56) has emerged from the present analysis is altogether different from that in which it appears in conventional radiation theory. Essential though it is to the physical requirement that the directions of propagation of the waves and of their energy should be the same, (56) expresses a relationship between fields that are here given by non-spherically decaying surface integrals rather than by the conventional volume integrals that decay like  $r_P^{-1}$ .

#### V. A PHYSICAL DESCRIPTION OF THE EMISSION PROCESS

Expressions (47) and (56) for the electric and magnetic fields of the radiation that arises from a charge-current density with the components (23) and (39) imply the following Poynting vector:

$$\mathbf{S} \sim \frac{2^5}{3^2} \pi^{-1} c (c/\omega)^2 \hat{r}_P^{-1} \left[ \int_{\hat{r}_<}^{\hat{r}_>} d\hat{r} \hat{r}^2 (\hat{r}^2 - 1)^{-\frac{1}{4}} (L_z \omega/c)^{\frac{1}{2}} \langle \rho_{bs} \rangle \right]^2 \hat{\mathbf{n}}. \quad (57)$$

In contrast, the magnitude of the Poynting vector for the *coherent* cyclotron radiation that would be generated by a macroscopic lump of charge, if it moved subluminally with a centripetal acceleration  $c\omega$ , is of the order of  $(\langle \rho \rangle L^3)^2 \omega^2 / (c R_P^2)$  according to the Larmor formula, where  $L^3$  represents the volume of the source and  $\langle \rho \rangle$  its average charge density. The intensity of the present emission is therefore greater than that of even a coherent conventional radiation by a factor of the order of  $(L_z/L)(L\omega/c)^{-4}(R_P/L)$ , a factor that ranges from  $10^{16}$  to  $10^{30}$  in the case of pulsars for instance.

The reason this ratio has so large a value in the far field ( $R_P/L \gg 1$ ) is that the radiative characteristics of a volume-distributed source which moves faster than the waves it emits are radically different from those of a

corresponding source that moves more slowly than the waves it emits. There are source elements in the former case that approach the observer along the radiation direction with the wave speed at the retarded time. These lie on the intersection of the source distribution with what we have here called the bifurcation surface of the observer (see Figs. 5 and 6): a surface issuing from the position of the observer which has the same shape as the envelope of the wave fronts emanating from a source element (Figs. 1 and 3) but which spirals around the rotation axis in the opposite direction to this envelope and resides in the space of source points instead of the space of observation points.

The source elements inside the bifurcation surface of an observer make their contributions towards the observed field at three distinct instants of the retarded time. The values of two of these retarded times coincide for an interior source element that lies next to the bifurcation surface. This limiting value of the coincident retarded times represents the instant at which the component of the velocity of the source point in question equals the wave speed  $c$  in the direction of the observer. The third retarded time at which a source point adjacent to—just inside—the bifurcation surface makes a contribution is the same as the single retarded time at which its neighbouring source element just outside the bifurcation surface makes its contribution towards the observed field. (The source elements outside the bifurcation surface make their contributions at only a single instant of the retarded time).

At the instant marked by this third value of the retarded time, the two neighbouring source elements—just interior and just exterior to the bifurcation surface—have the same velocity, but a velocity whose component along the radiation direction is different from  $c$ . The velocities of these two neighbouring elements are, of course, equal at any time. However, at the time they approach the observer with the wave speed, the element inside the bifurcation surface makes a contribution towards the observed field while the one outside this surface does not: the observer is located just inside the envelope of the wave fronts that emanate from the interior source element but just outside the envelope of the wave fronts that emanate from the exterior one. Thus, the constructive interference of the waves that are emitted by the source element just outside the bifurcation surface takes place along a caustic which at no point propagates past the observer at the conical apex of the bifurcation surface in question.

On the other hand, the radiation effectiveness of a source element which approaches the observer with the wave speed at the retarded time is much greater than that of a neighbouring element the component of whose velocity along the radiation direction is subluminal or superluminal at this time. This is because the piling up of the emitted wave fronts along the line joining the

source and the observer makes the ratio of emission to reception time intervals for the contributions of the luminally moving source elements by many orders of magnitude greater than that for the contributions of any other elements. As a result, the radiation effectiveness of the various constituent elements of the source (i.e. the Green's function for the emission process) undergoes a discontinuity across the boundary set by the bifurcation surface of the observer.

The integral representing the superposition of the contributions of the various volume elements of the source to the potential thus entails a discontinuous integrand. When this volume integral is differentiated to obtain the field, the discontinuity in question gives rise to a boundary contribution in the form of a surface integral over its locus. This integral receives contributions from opposite faces of each sheet of the bifurcation surface which do not cancel one another. Moreover, the contributions arising from the exterior faces of the two sheets of the bifurcation surface do not have the same value even in the limit  $R_P \rightarrow \infty$  where this surface is infinitely large and so its two sheets are—throughout a localized source that intersects the cusp—coalescent. Thus the resulting expression for the field in the radiation zone entails a surface integral such as that which would arise if the source were two-dimensional, i.e. if the source were concentrated into an infinitely thin sheet that coincided with the intersection of the coalescing sheets of the bifurcation surface with the source distribution.

For a two-dimensional source of this type—whether it be real or a virtual one whose field is described by a surface integral—the near zone (the Fresnel regime) of the radiation can extend to infinity, so that the amplitudes of the emitted waves are not necessarily subject to the spherical spreading that normally occurs in the far zone (the Fraunhofer regime). The Fresnel distance which marks the boundary between these two zones is given by  $R_F \sim L_{\perp}^2 / L_{\parallel}$ , in which  $L_{\perp}$  and  $L_{\parallel}$  are the dimensions of the source perpendicular and parallel to the radiation direction. If the source is distributed over a surface and so has a dimension  $L_{\parallel}$  that is vanishingly small, therefore, the Fresnel distance  $R_F$  tends to infinity.

In the present case, the surface integral which arises from the discontinuity in the radiation effectiveness of the source elements across the bifurcation surface has an integrand that is in turn singular on the cusp curve of this surface. This has to do with the fact that the source elements on the cusp curve of the bifurcation surface approach the observer along the radiation direction not only with the wave speed but also with zero acceleration. The ratio of the emission to reception time intervals for the signals generated by these elements is by several orders of magnitude greater even than that for the elements on the bifurcation surface. When the contributions of these

elements are included in the surface integral in question, i.e. when the observation point is such that the cusp curve of the bifurcation surface intersects the source distribution (as shown in Fig. 6), the value of the resulting improper integral turns out to have the dependence  $R_P^{-\frac{1}{2}}$ , rather than  $R_P^{-1}$ , on the distance  $R_P$  of the observer from the source.

This non-spherically decaying component of the radiation is in addition to the conventional component that is concurrently generated by the remaining volume elements of the source. It is detectable only at those observation points the cusp curves of whose bifurcation surfaces intersect the source distribution. It appears, therefore, as a spiral-shaped wave packet with the same azimuthal width as the  $\varphi$ -extent of the source. For a source distribution whose superluminal portion extends from  $\hat{r} = 1$  to  $\hat{r} = \hat{r}_> > 1$ , this wave packet is detectable—by an observer at infinity—within the angles  $\frac{1}{2}\pi - \arccos \hat{r}_>^{-1} \leq \theta_P \leq \frac{1}{2}\pi + \arccos \hat{r}_>^{-1}$  from the rotation axis: projection (12b) of the cusp curve of the bifurcation surface onto the  $(r, z)$ -plane reduces to  $\cot \theta_P = (\hat{r}^2 - 1)^{\frac{1}{2}}$  in the limit  $R_P \rightarrow \infty$ , where  $\theta_P \equiv \arctan(r_P/z_P)$  [also see (54)].

Because it comprises a collection of the spiralling cusps of the envelopes of the wave fronts that are emitted by various source elements, this wave packet has a cross section with the plane of rotation whose extent and shape match those of the source distribution. It is a diffraction-free propagating caustic that—when detected by a far-field observer—would appear as a pulse of duration  $\Delta\hat{\varphi}/\omega$ , where  $\Delta\hat{\varphi}$  is the azimuthal extent of the source.

Note that the waves that interfere constructively to form each cusp, and hence the observed pulse, are different at different observation times: the constituent waves propagate in the radiation direction  $\hat{n}$  with the speed  $c$ , whereas the propagating caustic that is observed, i.e. the segment of the cusp curve that passes through the observation point at the observation time, propagates in the azimuthal direction  $\hat{e}_{\varphi_P}$  with the phase speed  $r_P\omega$ .

The fact that the intensity of the pulse decays more slowly than predicted by the inverse square law is not therefore incompatible with the conservation of energy, for it is not the same wave packet that is observed at different distances from the source: the wave packet in question is constantly dispersed and reconstructed out of other waves. The cusp curve of the envelope of the wavefronts emanating from an infinitely long-lived source is detectable in the radiation zone not because any segment of this curve can be identified with a caustic that has formed at the source and has subsequently travelled as an isolated wavepacket to the radiation zone, but because certain set of waves superpose coherently only at infinity.

Relative phases of the set of waves that are emitted during a limited time interval is such that these waves do not, in general, interfere construc-

tively to form a cusped envelope until they have propagated some distance away from the source. The period in which this set of waves has a cusped envelope and so is detectable as a periodic train of non-spherically decaying pulses, would of course have a limited duration if the source is short-lived.

Thus, pulses of focused waves may be generated by the present emission process which not only are stronger in the far field than any previously studied class of signals, but which can in addition be beamed at only a select set of observers for a limited interval of time.

#### VI. DESCRIPTION OF EXAMPLES OF THE APPARATUS

An apparatus can be designed for generating such pulses, in accordance with the above theory, which basically entails the simple components shown in Figs. 7(a) and 7(b).

Referring to the example of Fig. 7(a), a linear dielectric rod 1 of length  $l$  is provided with an array of electrodes 2, 3 arranged opposite one another along its length with  $n/l$  electrodes per unit length. In use, a voltage potential is applied across the dielectric rod 1 by the electrodes 2, 3, with each pair of electrodes 2, 3, in the array being activated in turn to generate a polarisation region with the fronts 5. By rapid application and removal of a potential voltage to electrodes 2, 3, this polarised region can be set in accelerated motion with a superluminal velocity. Creating a voltage across a pair of electrodes polarises the material in the rod between the electrodes. The electrodes can be controlled independently, so that the distribution pattern of polarisation of the rod as a function of length along the rod is controlled.

By varying the voltage across the electrode pairs as a function of time, this polarisation pattern is set in motion. For example, neighbouring electrode pairs can be turned on with a time interval of  $\Delta t$  between them, starting from one end of the rod. Thus, at a snapshot in time, part of the rod is polarised (that part lying between electrode pairs with a voltage across them) and part of it is not polarised (that part lying between electrode pairs without a voltage across them). These regions are separated by "polarisation fronts" which move with a speed of  $l/(n\Delta t)$ . With suitable choices of  $n$  and  $\Delta t$  the polarisation fronts can be made to move at any speed (including speeds faster than the speed of light *in vacuo*). The polarisation fronts can be accelerated through the speed of light by changing  $\Delta t$  with time.

High-frequency radiation may be generated by modulating the amplitude of the resulting polarisation current with a frequency  $\Omega$  that exceeds  $a/c$ , where  $a$  is the acceleration of the source. The spectrum of the spherically decaying component of the radiation would then extend to frequencies that would be by a factor of the order of  $(c\Omega/a)^2$  higher than  $\Omega$ . The required

modulation may be achieved by varying the amplitudes of the voltages that are applied across various electrode pairs all in phase.

Figure 7(b) shows another example of the invention, the one analysed above. In this example, the dielectric rod is formed in the shape of a ring. Figure 7(b) is a plan view showing electrodes 2, and has electrodes 3 disposed below the rod 1. For a ring of radius  $r$  and a polarisation pattern that moves around the ring with an angular frequency  $\omega$ , the velocity of the charged region is  $r\omega$ . In this example,  $r\omega$  is greater than the speed of light  $c$  so that the moving polarisation pattern emits the radiation described with reference to Figures 1 to 6. An azimuthal or radial polarisation current may be produced by displacing the plates of each electrode pair relative to one another.

The voltages across neighbouring electrode pairs have the same time dependence (their period is  $2\pi/\omega$ ) but, as in the rectilinear case, there is a time difference of  $\Delta t$  between them. The polarisation pattern must move coherently around the ring, i.e. must move rigidly with an unchanging shape; this would be the case if  $n\Delta t = 2\pi N/\omega$ , where  $n$  is the number of electrodes around the ring and  $N$  an integer. Within the confines of this condition, the time dependence of the voltage across each pair of electrodes can be chosen at will. The exact form of the adopted time dependence would allow, for example, the generation of harmonic content and structure in the source. As in the rectilinear case, modulation of the amplitude of this source at a frequency  $\Omega$  would result in a radiation whose spectrum would contain frequencies of the order of  $(\Omega/\omega)^2\Omega$ .

The electrodes are driven by an array of similar oscillators, an array in which the phase difference between successive oscillators has a fixed value. There are several ways of implementing this:

a single oscillator may be used to drive each electrode through progressively longer delay lines;

each electrode pair may be driven by an individual oscillator in an array of phase-locked oscillators; or

the electrode pairs may be connected to points around a circle of radius  $r$  which lies within—and is coplanar with—an annular waveguide, a waveguide whose normal modes include an electromagnetic wave train that propagates longitudinally around the circle with an angular frequency  $\omega > c/r$ .

For a dielectric rod in the shape of a ring of diameter 1 m, oscillators operating at a frequency of 100 MHz would generate a superluminally moving polarisation pattern. The required oscillator frequencies are easily obtainable using standard laboratory equipment, and any material with an appreciable polarizability at MHz frequencies would do for the medium. If the amplitude of the resulting polarisation current is in addition modulated at 1 GHz, then the device would radiate at  $\sim 100$  GHz. The efficiency of this emission

process is expected to be as high as a few percent.

With oscillators operating at frequencies of 1 GHz (also available), the size of the device would be about 10 cm across; applications demanding portability are therefore viable.

## VII. APPLICATIONS

### A. Medical and biomedical applications

The present invention may be exploited to generate waves which do not form themselves into a focused pulse until they arrive at their intended destination and which subsequently remain in focus only for an adjustable interval of time, a property that allows for applications in various areas of medical practice and biomedical research.

Examples of its use in therapeutic medicine are: (i) the selective irradiation of deep tumours whilst sparing surrounding normal tissue, and (ii) the radiation pressure or thermocautery removal of thrombotic and embolic vascular lesions that may result from abnormalities in blood clotting without invasive surgery. Examples of its use in diagnostic medicine are absorption spectroscopy (focusing a broadband pulse within a tissue some frequencies of which would be absorbed) and three-dimensional tomography (mapping specifiable regions of interest within the body to high levels of resolution). In biomedical research, it provides a more powerful alternative to confocal scanning microscopy; with a single superluminal aerial being used as an X-ray source for imaging purposes.

An example of an apparatus required for generating the pulses in question is that shown in Fig. (7a). It consists of a linear dielectric rod, an array of electrode pairs positioned opposite to each other along the rod, and the means for applying a voltage to the electrodes sequentially at a rate sufficient to induce a polarization current whose distribution pattern moves along the rod with a constant acceleration at speeds exceeding the speed of light *in vacuo*.

The envelope of the wave fronts emanating from a volume element of the superluminally moving distribution pattern thus produced is shown in Fig. 8. It consists of a two-sheeted closed surface when the duration of the source includes the instant at which the source becomes superluminal. The two sheets of this envelope are tangent to one another and form a cusp along an expanding circle. If the source has a limited duration, the envelope in question is correspondingly limited [as in Fig. 9(d)] to only a truncated section of the surface shown in Fig. 8.

The snapshots in Fig. 9 trace the evolution in time of the relative posi-

tions of a particular set of wave fronts that are emitted during a short time interval. They include times at which the envelope has not yet developed a cusp [(a) and (b)], has a cusp [(c)-(e)], and has already lost its cusp (f).

A source with the life span  $0 < t < T$  gives rise to a caustic, i.e. to a set of tangential wave fronts with a cusped envelope, only during the following finite interval of observation time:

$$M(M^2 - 1)l/c \leq t_P \leq M[M^2(1 + aT/u)^3 - 1]l/c, \quad (58)$$

where  $M \equiv u/c$  and  $l \equiv c^2/a$  with  $u$ ,  $c$ , and  $a$  standing for the source speed at  $t = 0$ , the wave speed, and the constant acceleration of the source, respectively. For  $aT/u \ll 1$ , therefore, the duration of the caustic,  $3M^2T$ , is proportional to that of the source.

Moreover, a cusped envelope begins to form in the case of a short-lived source only after the waves have propagated a finite distance away from the source. The distance of the caustic from the position of the source at the retarded time is given by

$$\bar{R}_P = \beta_P^{1/3}(\beta_P^{2/3} - 1)l, \quad (59)$$

where  $\beta_P \equiv (u + at_P)/c$  and  $t_P$  is the observation time. This distance can be long even when the duration of the source is short because there is no upper limit on the value of the length  $l$  ( $\equiv c^2/a$ ) that enters (58) and (59):  $l$  tends to infinity for  $a \rightarrow 0$  and is as large as  $10^{18}$  cm when  $a$  equals the acceleration of gravity. Thus  $\bar{R}_P$  can be rendered arbitrarily large, by a suitable choice of the parameter  $l$ , without requiring either the duration of the source ( $T$ ) or the retarded value ( $\beta_P^{1/3}c$ ) of the speed of the source to be correspondingly large.

This means that, when either  $M$  or  $l$  is large, the waves emitted by a short-lived source do not focus to such an extent as to form a cusped envelope until they have travelled a long distance away from the source. The period during which they then do so can be controlled by adjusting the parameters  $M$  and  $T$ .

The collection of the cusp curves of the envelopes that are associated with various source elements constitutes a ring-shaped wave packet. This wave packet is intercepted only by those observers who are located, during its life time (58), on its trajectory

$$\xi = (\beta_P^{2/3} - 1)^{3/2}, \quad \zeta = \frac{1}{2}\beta_P^2 - \frac{3}{2}\beta_P^{2/3} + 1, \quad (60)$$

where  $\xi$  represents the distance (in units of  $l$ ) of the observer from the rectilinear path of the source, say the  $z$ -axis, and  $\zeta$  stands for the difference

between the Lagrangian coordinates  $\bar{z} = z - ut - \frac{1}{2}at^2$  of the source point and  $\bar{z}_P = z_P - ut_P - \frac{1}{2}at_P^2$  of the observation point.

It is possible to limit the spatial extent of the wave packet embodying the large-amplitude pulse by enclosing the path of the source within an opaque cylindrical surface which has a narrow slit parallel to its axis, a slit acting as an aperture that would only allow an arc of the ring-shaped wave packet to propagate to the far field. The volume occupied by the resulting wave packet could then be chosen at will by adjusting the width of the aperture and the longitudinal extent of the source distribution.

### B. Compact sources of intense broadband radiation

In the near zone, the radiation that is generated by the invention can be arranged to have many features in common with synchrotron radiation. Most experiments presently carried out at large-scale synchrotron facilities could potentially be performed by means of a polarization synchrotron, i.e. the compact device described in Sec. VI. This device has applications, as a source of intense broadband radiation, in many scientific and industrial areas, e.g. in spectroscopy, in semiconductor lithography at very fine length scales, and in silicon chip manufacture involving UV techniques.

The spectrum of the radiation generated in a polarization synchrotron extends to frequencies that are by a factor of the order of  $(c\Omega/a)^2$  higher than the characteristic frequency  $\Omega$  of the fluctuations of the source itself ( $c$  and  $a$  are the speed of light and the acceleration of the source, respectively). For a polarizable medium consisting of a 1 m arc of a circular rod whose diameter is  $\sim 10$  m [see Fig. (7b)], a superluminal source motion is achieved by an applied voltage that oscillates with the frequency  $\sim 10$  MHz. If the amplitude of the resulting polarization current is in addition modulated at  $\sim 500$  MHz, then the device would radiate at  $\sim 1$  THz.

In the case of the source elements that approach the observer with the wave speed and zero acceleration, the interval of retarded time  $\delta t$  during which a set of waves are emitted is significantly longer than the interval of observation time  $\delta t_P$  during which the same set of waves are received.

For a rectilinearly moving superluminal source, the ratio  $\delta t/\delta t_P$  is given by  $2^{\frac{1}{3}}(u^2/c^2 - 1)^{\frac{1}{3}}(a\delta t_P/c)^{-\frac{2}{3}}$ , where  $u$  is the retarded speed of the source and  $a$  its constant acceleration. This ratio increases without bound as  $a$  approaches zero. Regardless of what the characteristic frequency of the temporal fluctuations of the source may be, therefore, it is possible to push the upper bound to the spectrum of the emitted radiation to arbitrarily high frequencies by making the acceleration  $a$  small. [Note that the emission process described here remains different from the Čerenkov process, in which  $a$

exactly equals zero, even in the limit  $a \rightarrow 0$ .]

The relationship between  $\delta t$  and  $\delta t_P$  is  $\delta t_P \simeq \frac{1}{6}\omega^2(\delta t)^3$  if the source moves circularly with the angular frequency  $\omega$ . Thus the spectrum of the spherically decaying part of the radiation that is generated by accelerated superluminal sources extends to frequencies which are by a factor of the order of  $(c\Omega/a)^2$  or  $(\Omega/\omega)^2$  higher than the characteristic frequency  $\Omega$  of the modulations of the source amplitude.

### C. Long-range and high-bandwidth telecommunications

There are at present no known antennas in which the emitting electric current is both volume distributed and has the time dependence of a travelling wave with an accelerated superluminal motion. A travelling wave antenna of this type, designed on the basis of the principles underlying the present invention, generates focused pulses that not only are stronger in the far field than any previously studied class of signals, but can in addition be beamed at only a select set of observers for a limited interval of time: the constituent waves whose constructive interference gives rise to the propagating wave packet embodying a given pulse come into focus (develop a cusped envelope or a caustic) only long after they have emanated from the source and then only for a finite period (Fig. 9).

The intensity of the waves generated by this novel type of antenna decay much more slowly over distance than that of conventional radio or light signals. In the case of conventional sources, including lasers, if the transmitter (source) to receiver (destination) distance doubles, the power of the signal is reduced by a factor of four. With the present invention, the same doubling of distance only halves the available signal. Thus the power required to send a radio signal from the Earth to the Moon by the present transmitter would be 100 million times smaller than that which is needed in the case of a conventional antenna.

The emission mechanism in question can therefore be used to convey telephonic, visual and other electronic data over very long distances without significant attenuation. In the case of ground-to-satellite communications, the power required to beam a signal would be greatly reduced, implying that either far fewer satellites would be required for the same bandwidth or each satellite could handle a much wider range of signals for the same power output.

### D. Hand-held communication devices

A combined effect of the slow decay rate and the beaming of the new

radiation is that a network of suitably constructed antennae could expand the useable spectrum of terrestrial electromagnetic broadcasts by a factor of a thousand or more, thus dispensing with the need for cable or optical fibre for high-bandwidth communications.

The evolution of the Internet, real-time television conferencing and related information-intense communication media means that there is a growing demand for cheap high-bandwidth aerials. Highly compact aerials for hand-held portable phones and/or television/Internet connections based on the present invention can handle, not only much longer transmitter-to-receiver distances than those currently available in cellular phone systems, but also much higher bandwidth.

Far fewer ground based aerial structures are required to obtain the same area coverage. Because there would be no cross-talk between any pairs of transmitter and receiver, the effective bandwidth of free space could be increased many thousand-fold, thus allowing, say, for video transmission between hand-held units.

APPENDIX A: ASYMPTOTIC EXPANSIONS OF  
THE GREEN'S FUNCTIONS

In this Appendix, we calculate the leading terms in the asymptotic expansions of the integrals (16), (34), (42) and (52) for small  $\phi_+ - \phi_-$ , i.e. for points close to the cusp curve (12) of the bifurcation surface (or of the envelope of the wavefronts). The method—originally due to Chester *et al.* (Proc. Camb. Phil. Soc., 54, 599, 1957)—which we use is a standard one that has been specifically developed for the evaluation of radiation integrals involving caustics (see Ludwig, Comm. Pure Appl. Maths, 19, 215, 1966). The integrals evaluated below all have a phase function  $g(\varphi)$  whose extrema ( $\varphi = \varphi_{\pm}$ ) coalesce at the caustic (12).

As long as the observation point does not coincide with the source point, the function  $g(\varphi)$  is analytic and the following transformation of the integration variables in (16) is permissible:

$$g(\varphi) = \frac{1}{3}\nu^3 - c_1^2\nu + c_2, \quad (A1)$$

where  $\nu$  is the new variable of integration and the coefficients

$$c_1 \equiv (\frac{3}{4})^{\frac{1}{3}}(\phi_+ - \phi_-)^{\frac{1}{3}} \quad \text{and} \quad c_2 \equiv \frac{1}{2}(\phi_+ + \phi_-) \quad (A2)$$

are chosen such that the values of the two functions on opposite sides of (A1) coincide at their extrema. Thus an alternative exact expression for  $G_0$  is

$$G_0 = \int_{-\infty}^{+\infty} d\nu f_0(\nu) \delta(\frac{1}{3}\nu^3 - c_1^2\nu + c_2 - \phi), \quad (A3)$$

in which

$$f_0(\nu) \equiv R^{-1}d\varphi/d\nu. \quad (A4)$$

Close to the cusp curve (12), at which  $c_1$  vanishes and the extrema  $\nu = \pm c_1$  of the above cubic function are coincident,  $f_0(\nu)$  may be approximated by  $p_0 + q_0\nu$ , with

$$p_0 = \frac{1}{2}(f_0|_{\nu=c_1} + f_0|_{\nu=-c_1}), \quad (A5)$$

and

$$q_0 = \frac{1}{2}c_1^{-1}(f_0|_{\nu=c_1} - f_0|_{\nu=-c_1}). \quad (A6)$$

The resulting expression

$$G_0 \sim \int_{-\infty}^{+\infty} d\nu (p_0 + q_0\nu) \delta(\frac{1}{3}\nu^3 - c_1^2\nu + c_2 - \phi) \quad (A7)$$

will then constitute, according to the general theory, the leading term in the asymptotic expansion of  $G_0$  for small  $c_1$ .

To evaluate the integral in (A7), we need to know the roots of the cubic equation that follows from the vanishing of the argument of the Dirac delta function in this expression. Depending on whether the observation point is located inside or outside the bifurcation surface (the envelope), the roots of

$$\frac{1}{3}\nu^3 - c_1^2\nu + c_2 = 0 \quad (A8)$$

are given by

$$\nu = 2c_1 \cos\left(\frac{2}{3}n\pi + \frac{1}{3}\arccos\chi\right), \quad |\chi| < 1, \quad (A9a)$$

for  $n = 0, 1$  and  $2$ , or by

$$\nu = 2c_1 \operatorname{sgn}(\chi) \cosh\left(\frac{1}{3}\operatorname{arccosh}|\chi|\right), \quad |\chi| > 1, \quad (A9b)$$

respectively, where

$$\chi \equiv [\phi - \frac{1}{2}(\phi_+ + \phi_-)] / [\frac{1}{2}(\phi_+ - \phi_-)] = \frac{3}{2}(\phi - c_2)/c_1^3. \quad (A10)$$

Note that  $\chi$  equals  $+1$  on the sheet  $\phi = \phi_+$  of the bifurcation surface (the envelope) and  $-1$  on  $\phi = \phi_-$ .

The integral in (A7), therefore, has the following value when the observation point lies inside the bifurcation surface (the envelope):

$$\int_{-\infty}^{+\infty} d\nu \delta\left(\frac{1}{3}\nu^3 - c_1^2\nu + c_2\right) = \sum_{n=0}^2 c_1^{-2} |4\cos^2\left(\frac{2}{3}n\pi + \frac{1}{3}\arccos\chi\right) - 1|^{-1}, \quad |\chi| < 1. \quad (A11)$$

Using the trigonometric identity  $4\cos^2\alpha - 1 = \sin 3\alpha / \sin \alpha$ , we can write this as

$$\begin{aligned} \int_{-\infty}^{+\infty} d\nu \delta\left(\frac{1}{3}\nu^3 - c_1^2\nu + c_2\right) &= c_1^{-2} (1 - \chi^2)^{-\frac{1}{2}} \sum_{n=0}^2 |\sin\left(\frac{2}{3}n\pi + \frac{1}{3}\arccos\chi\right)| \\ &= 2c_1^{-2} (1 - \chi^2)^{-\frac{1}{2}} \cos\left(\frac{1}{3}\arcsin\chi\right), \quad |\chi| < 1, \end{aligned} \quad (A12)$$

in which we have evaluated the sum by adding the sine functions two at a time.

When the observation point lies outside the bifurcation surface (the envelope), the above integral receives a contribution only from the single value of  $\nu$  given in (A9b) and we obtain

$$\int_{-\infty}^{+\infty} d\nu \delta\left(\frac{1}{3}\nu^3 - c_1^2\nu + c_2\right) = c_1^{-2} (\chi^2 - 1)^{-\frac{1}{2}} \sinh\left(\frac{1}{3}\operatorname{arccosh}|\chi|\right), \quad |\chi| > 1, \quad (A13)$$

where this time we have used the identity  $4\cosh^2\alpha - 1 = \sinh 3\alpha / \sinh \alpha$ .

The second part of the integral in (A7) can be evaluated in exactly the same way. It has the value

$$\begin{aligned} \int_{-\infty}^{+\infty} d\nu \nu \delta(\frac{1}{3}\nu^3 - c_1^2 \nu + c_2) &= 2c_1^{-1}(1 - \chi^2)^{-\frac{1}{2}} \sum_{n=0}^2 |\sin(\frac{2}{3}n\pi + \frac{1}{3}\arccos \chi)| \\ &\quad \times \cos(\frac{2}{3}n\pi + \frac{1}{3}\arccos \chi) \\ &= -2c_1^{-1}(1 - \chi^2)^{-\frac{1}{2}} \sin(\frac{2}{3}\arcsin \chi), \quad |\chi| < 1, \quad (A14) \end{aligned}$$

when the observation point lies inside the bifurcation surface (the envelope), and the value

$$\int_{-\infty}^{+\infty} d\nu \nu \delta(\frac{1}{3}\nu^3 - c_1^2 \nu + c_2) = c_1^{-1}(\chi^2 - 1)^{-\frac{1}{2}} \operatorname{sgn}(\chi) \sinh(\frac{2}{3}\operatorname{arccosh}|\chi|), \quad |\chi| > 1, \quad (A15)$$

when the observation point lies outside the bifurcation surface (the envelope).

Inserting (A12)–(A15) in (A7), and denoting the values of  $G_0$  inside and outside the bifurcation surface (the envelope) by  $G_0^{\text{in}}$  and  $G_0^{\text{out}}$ , we obtain

$$G_0^{\text{in}} \sim 2c_1^{-2}(1 - \chi^2)^{-\frac{1}{2}} [p_0 \cos(\frac{1}{3}\arcsin \chi) - c_1 q_0 \sin(\frac{2}{3}\arcsin \chi)], \quad |\chi| < 1, \quad (A16)$$

and

$$G_0^{\text{out}} \sim c_1^{-2}(\chi^2 - 1)^{-\frac{1}{2}} [p_0 \sinh(\frac{1}{3}\operatorname{arccosh}|\chi|) + c_1 q_0 \operatorname{sgn}(\chi) \sinh(\frac{2}{3}\operatorname{arccosh}|\chi|)], \quad |\chi| > 1, \quad (A17)$$

for the leading terms in the asymptotic approximation to  $G_0$  for small  $c_1$ .

The function  $f_0(\nu)$  in terms of which the coefficients  $p_0$  and  $q_0$  are defined is indeterminate at  $\nu = c_1$  and  $\nu = -c_1$ : differentiation of (A1) yields  $d\varphi/d\nu = (\nu^2 - c_1^2)/(\partial g/\partial \varphi)$  the zeros of whose denominator at  $\varphi = \varphi_-$  and  $\varphi = \varphi_+$  respectively coincide with those of its numerator at  $\nu = +c_1$  and  $\nu = -c_1$ . This indeterminacy can be removed by means of l'Hopital's rule by noting that

$$\left. \frac{d\varphi}{d\nu} \right|_{\nu=\pm c_1} = \left. \frac{\nu^2 - c_1^2}{\partial g/\partial \varphi} \right|_{\nu=\pm c_1} = \left. \frac{2\nu}{(\partial^2 g/\partial \varphi^2)(d\varphi/d\nu)} \right|_{\nu=\pm c_1}, \quad (A18)$$

i.e. that

$$\left. \frac{d\varphi}{d\nu} \right|_{\nu=\pm c_1} = \left( \frac{\pm 2c_1}{\partial^2 g/\partial \varphi^2} \right)^{\frac{1}{2}} \Big|_{\varphi=\varphi_{\mp}} = \frac{(2c_1 \hat{R}_{\mp})^{\frac{1}{2}}}{\Delta^{\frac{1}{4}}}, \quad (A19)$$

in which we have calculated  $(\partial^2 g / \partial \varphi^2)_{\varphi \pm}$  from (7) and (8). The right-hand side of (A19) is, in turn, indeterminate on the cusp curve of the bifurcation surface (the envelope) where  $c_1 = \Delta = 0$ . Removing this indeterminacy by expanding the numerator in this expression in powers of  $\Delta^{1/4}$ , we find that  $d\varphi/d\nu$  assumes the value  $2^{1/3}$  at the cusp curve.

Hence, the coefficients  $p_0$  and  $q_0$  that appear in the expressions (A8) and (A9) for  $G_0$  are explicitly given by

$$p_0 = (\omega/c)(\frac{1}{2}c_1)^{1/2}(\hat{R}_-^{-1/2} + \hat{R}_+^{-1/2})\Delta^{-1/4}, \quad (A20)$$

and

$$q_0 = (\omega/c)(2c_1)^{-1/2}(\hat{R}_-^{-1/2} - \hat{R}_+^{-1/2})\Delta^{-1/4} \quad (A21)$$

[see (A4)–(A6) and (A19)].

In the regime of validity of (A8) and (A9), where  $\Delta$  is much smaller than  $(\hat{r}_P^2 \hat{r}^2 - 1)^{1/2}$ , the leading terms in the expressions for  $\hat{R}_{\pm}$ ,  $c_1$ ,  $p_0$  and  $q_0$  are

$$\hat{R}_{\pm} = (\hat{r}_P^2 \hat{r}^2 - 1)^{1/2} \pm (\hat{r}_P^2 \hat{r}^2 - 1)^{-1/2}\Delta^{1/2} + O(\Delta), \quad (A22)$$

$$c_1 = 2^{-1/3}(\hat{r}_P^2 \hat{r}^2 - 1)^{-1/2}\Delta^{1/2} + O(\Delta), \quad (A23)$$

$$p_0 = 2^{1/3}(\omega/c)(\hat{r}^2 \hat{r}_P^2 - 1)^{-1/2} + O(\Delta^{1/2}), \quad (A24)$$

and

$$q_0 = 2^{-1/3}(\omega/c)(\hat{r}^2 \hat{r}_P^2 - 1)^{-1} + O(\Delta^{1/2}). \quad (A25)$$

These may be obtained by using (9) to express  $\hat{z}$  everywhere in (10), (11) and (A2) in terms of  $\Delta$  and  $\hat{r}$ , and expanding the resulting expressions in powers of  $\Delta^{1/2}$ . The quantity  $\Delta$  in turn has the following value at points  $0 \leq \hat{z}_c - \hat{z} \ll (\hat{r}_P^2 - 1)^{1/2}(\hat{r}^2 - 1)^{1/2}$ :

$$\Delta = 2(\hat{r}_P^2 - 1)^{1/2}(\hat{r}^2 - 1)^{1/2}(\hat{z}_c - \hat{z}) + O[(\hat{z}_c - \hat{z})^2], \quad (A26)$$

in which  $\hat{z}_c$  is given by the expression with the plus sign in (12b).

For an observation point in the far zone ( $\hat{r}_P \gg 1$ ), the above expressions reduce to

$$\hat{R}_{\pm} \simeq \hat{r}\hat{r}_P, \quad c_1 \simeq 2^{1/6}(\hat{r}\hat{r}_P)^{-1/2}(1 - \hat{r}^{-2})^{1/4}(\hat{z}_c - \hat{z})^{1/2}, \quad (A27)$$

$$\Delta \simeq 2\hat{r}_P(\hat{r}^2 - 1)^{1/2}(\hat{z}_c - \hat{z}), \quad (A28)$$

$$p_0 \simeq 2^{1/3}(\omega/c)(\hat{r}_P\hat{r})^{-1}, \quad q_0 \simeq 2^{-1/3}(\omega/c)(\hat{r}_P\hat{r})^{-2}, \quad (A29)$$

and

$$\chi \simeq 3(\frac{1}{2}\hat{r}\hat{r}_P)^{3/2}(1 - \hat{r}^{-2})^{-3/4}(\phi - \phi_c)/(\hat{z}_c - \hat{z})^{3/2}, \quad (A30)$$

in which  $\hat{z}_c - \hat{z}$  has been assumed to be finite.

Evaluation of the other Green's functions,  $\mathbf{G}_1$ ,  $\mathbf{G}_2$  and  $\mathbf{G}_3$ , entails calculations which have many steps in common with that of  $G_0$ . Since the integrals in (34), (42) and (52) differ from that in (16) only in that their integrands respectively contain the extra factors  $\hat{\mathbf{n}}$ ,  $\hat{\mathbf{e}}_\varphi$  and  $\hat{\mathbf{n}} \times \hat{\mathbf{e}}_\varphi$ , they can be rewritten as integrals of the form (A3) in which the functions

$$\mathbf{f}_1(\nu) \equiv \hat{\mathbf{n}} f_0, \quad \mathbf{f}_2(\nu) \equiv \hat{\mathbf{e}}_\varphi f_0 \quad \text{and} \quad \mathbf{f}_3(\nu) \equiv \hat{\mathbf{n}} \times \hat{\mathbf{e}}_\varphi f_0 \quad (A31)$$

replace the  $f_0(\nu)$  given by (A4).

If  $p_0$  and  $q_0$  are correspondingly replaced, in accordance with (A5) and (A6), by

$$\mathbf{p}_k = \frac{1}{2} (\mathbf{f}_k|_{\nu=c_1} + \mathbf{f}_k|_{\nu=-c_1}), \quad k = 1, 2, 3, \quad (A32)$$

and

$$\mathbf{q}_k = \frac{1}{2} c_1^{-1} (\mathbf{f}_k|_{\nu=c_1} - \mathbf{f}_k|_{\nu=-c_1}), \quad k = 1, 2, 3, \quad (A33)$$

then every step of the analysis that led from (A7) to (A8) and (A9) would be equally applicable to the evaluation of  $\mathbf{G}_k$ . It follows, therefore, that

$$\mathbf{G}_k^{\text{in}} \sim 2c_1^{-2}(1-\chi^2)^{-\frac{1}{2}} [\mathbf{p}_k \cos(\frac{1}{3} \arcsin \chi) - c_1 \mathbf{q}_k \sin(\frac{2}{3} \arcsin \chi)], \quad |\chi| < 1, \quad (A34)$$

and

$$\begin{aligned} \mathbf{G}_k^{\text{out}} \sim c_1^{-2}(\chi^2 - 1)^{-\frac{1}{2}} & [\mathbf{p}_k \sinh(\frac{1}{3} \operatorname{arccosh} |\chi|) \\ & + c_1 \mathbf{q}_k \operatorname{sgn}(\chi) \sinh(\frac{2}{3} \operatorname{arccosh} |\chi|)], \quad |\chi| > 1, \end{aligned} \quad (A35)$$

constitute the uniform asymptotic approximations to the functions  $\mathbf{G}_k$  inside and outside the bifurcation surface (the envelope)  $|\chi| = 1$ .

Explicit expressions for  $\mathbf{p}_k$  and  $\mathbf{q}_k$  as functions of  $(r, z)$  may be found from (8), (A19), and (A31)–(A33) jointly. The result is

$$\begin{aligned} \mathbf{p}_1 = 2^{-\frac{1}{2}}(\omega/c)c_1^{\pm\frac{1}{2}}\Delta^{-\frac{1}{4}} & \{[(\hat{r}_P - \hat{r}_P^{-1})(\hat{R}_-^{-\frac{3}{2}} \pm \hat{R}_+^{-\frac{3}{2}}) - \hat{r}_P^{-1}\Delta^{\frac{1}{2}}(\hat{R}_-^{-\frac{3}{2}} \\ & \mp \hat{R}_+^{-\frac{3}{2}})]\hat{\mathbf{e}}_{r_P} + \hat{r}_P^{-1}(\hat{R}_-^{-\frac{1}{2}} \pm \hat{R}_+^{-\frac{1}{2}})\hat{\mathbf{e}}_{\varphi_P} + (\hat{z}_P - \hat{z})(\hat{R}_-^{-\frac{3}{2}} \pm \hat{R}_+^{-\frac{3}{2}})\hat{\mathbf{e}}_{z_P}\}, \end{aligned} \quad (A36)$$

$$\begin{aligned} \mathbf{p}_2 = 2^{-\frac{1}{2}}(\omega/c)(\hat{r}\hat{r}_P)^{-1}c_1^{\pm\frac{1}{2}}\Delta^{-\frac{1}{4}} & \{(\hat{R}_-^{\frac{1}{2}} \pm \hat{R}_+^{\frac{1}{2}})\hat{\mathbf{e}}_{r_P} \\ & + [\hat{R}_-^{-\frac{1}{2}} \pm \hat{R}_+^{-\frac{1}{2}} + \Delta^{\frac{1}{2}}(\hat{R}_-^{-\frac{1}{2}} \mp \hat{R}_+^{-\frac{1}{2}})]\hat{\mathbf{e}}_{\varphi_P}\}, \end{aligned} \quad (A37)$$

and

$$\begin{aligned} \mathbf{P}_3 = & 2^{-\frac{1}{2}}(\omega/c)(\hat{r}\hat{r}_P)^{-1}c_1^{\pm\frac{1}{2}}\Delta^{-\frac{1}{4}}\{-(\hat{z}_P-\hat{z})[\hat{R}_-^{-\frac{3}{2}}\pm\hat{R}_+^{-\frac{3}{2}} \\ & +\Delta^{\frac{1}{2}}(\hat{R}_-^{-\frac{3}{2}}\mp\hat{R}_+^{-\frac{3}{2}})]\hat{\mathbf{e}}_{r_P}+(\hat{z}_P-\hat{z})(\hat{R}_-^{-\frac{1}{2}}\pm\hat{R}_+^{-\frac{1}{2}})\hat{\mathbf{e}}_{\varphi_P} \\ & +\hat{r}_P[\Delta^{\frac{1}{2}}(\hat{R}_-^{-\frac{3}{2}}\mp\hat{R}_+^{-\frac{3}{2}})-(\hat{r}^2-1)(\hat{R}_-^{-\frac{3}{2}}\pm\hat{R}_+^{-\frac{3}{2}})]\hat{\mathbf{e}}_{z_P}\}, \quad (A38) \end{aligned}$$

where use has been made of the fact that  $\hat{\mathbf{e}}_\varphi = -\sin(\varphi - \varphi_P)\hat{\mathbf{e}}_{r_P} + \cos(\varphi - \varphi_P)\hat{\mathbf{e}}_{\varphi_P}$ . Here, the expressions with the upper signs yield the  $\mathbf{p}_k$  and those with the lower signs the  $\mathbf{q}_k$ .

The asymptotic value of each  $\mathbf{G}_k^{\text{out}}$  is indeterminate on the bifurcation surface (the envelope). If we expand the numerator of (A35) in powers of its denominator and cancel out the common factor  $(\chi^2 - 1)^{\frac{1}{2}}$  prior to evaluating the ratio in this equation, we obtain

$$\mathbf{G}_k^{\text{out}}|_{\phi=\phi_{\pm}} = \mathbf{G}_k^{\text{out}}|_{\chi=\pm 1} \sim (\mathbf{p}_k \pm 2c_1 \mathbf{q}_k)/(3c_1^2). \quad (A39)$$

This shows that  $\mathbf{G}_k^{\text{out}}|_{\phi=\phi_-}$  and  $\mathbf{G}_k^{\text{out}}|_{\phi=\phi_+}$  remain different even in the limit where the surfaces  $\phi = \phi_-$  and  $\phi = \phi_+$  coalesce. The coefficients  $\mathbf{q}_k$  that specify the strengths of the discontinuities

$$\mathbf{G}_k^{\text{out}}|_{\phi=\phi_+} - \mathbf{G}_k^{\text{out}}|_{\phi=\phi_-} \sim \frac{4}{3}\mathbf{q}_k/c_1 \quad (A40)$$

reduce to

$$\mathbf{q}_1 \simeq \frac{3}{2^{\frac{1}{3}}}(\omega/c)(\hat{r}\hat{r}_P)^{-3}[(1 - \frac{2}{3}\hat{r}^2)\hat{r}_P\hat{\mathbf{e}}_{r_P} + (\hat{z}_P - \hat{z})\hat{\mathbf{e}}_{z_P}], \quad (A41)$$

$$\mathbf{q}_2 \simeq 2^{\frac{2}{3}}(\omega/c)(\hat{r}\hat{r}_P)^{-1}\hat{\mathbf{e}}_{\varphi_P}, \quad (A42)$$

and

$$\mathbf{q}_3 \simeq -2^{\frac{2}{3}}(\omega/c)(\hat{r}\hat{r}_P)^{-2}[(\hat{z}_P - \hat{z})\hat{\mathbf{e}}_{r_P} - \hat{r}_P\hat{\mathbf{e}}_{z_P}] \quad (A43)$$

in the regime of validity of (A27) and (A28).

When  $0 \leq \hat{z}_c - \hat{z} \ll (\hat{r}^2 - 1)^{\frac{1}{2}}\hat{r}_P$ , the expressions (A41) and (A43) further reduce to

$$\mathbf{q}_1 \simeq \frac{3}{2^{\frac{1}{3}}}(\omega/c)(\hat{r}\hat{r}_P)^{-2}\mathbf{n}_1, \quad \text{and} \quad \mathbf{q}_3 \simeq 2^{\frac{2}{3}}(\omega/c)(\hat{r}\hat{r}_P)^{-1}\mathbf{n}_3, \quad (A44)$$

with

$$\mathbf{n}_1 \equiv (\hat{r}^{-1} - \frac{2}{3}\hat{r})\hat{\mathbf{e}}_{r_P} - (1 - \hat{r}^{-2})^{\frac{1}{2}}\hat{\mathbf{e}}_{z_P} \quad \text{and} \quad \mathbf{n}_3 \equiv (1 - \hat{r}^{-2})^{\frac{1}{2}}\hat{\mathbf{e}}_{r_P} + \hat{r}^{-1}\hat{\mathbf{e}}_{z_P}, \quad (A45)$$

for in this case (12b)—with the adopted plus sign—can be used to replace  $\hat{z} - \hat{z}_P$  by  $(\hat{r}^2 - 1)^{\frac{1}{2}}\hat{r}_P$ .

CLAIMS

1. An apparatus for generating electromagnetic radiation comprising:
  - 5 a polarizable or magnetizable medium; and means of generating, in a controlled manner, a polarization or magnetisation current whose distribution pattern has an accelerated motion with a superluminal speed, so that the apparatus generated both a non-spherically decaying component and an intense spherically decaying component of electromagnetic radiation.
  - 10
2. An apparatus according to claim 1, wherein the polarizable medium is a dielectric substrate.
- 15
3. An apparatus according to claim 2, wherein the means for generating the polarization current distribution is an array of electrode pairs positioned opposite to each other along the substrate and a voltage applied to the electrodes sequentially at a rate sufficient to induce a polarization current whose distribution pattern moves along the substrate with a speed exceeding the speed of light in vacuo.
- 20
4. An apparatus according to any preceding claim, wherein the spectrum of the emitted electromagnetic radiation contains frequencies that are higher than the characteristic frequency of modulations of the emitting current.
- 25
5. An apparatus according to any preceding claim, where the polarizable or magnetizable has the shape of a circle or an arc of a circle.
- 30
6. An apparatus according to any of claims 1 to 4, where in the polarizable or magnetizable medium has a rectilinear shape.
- 35

7. An apparatus according to claim 6, wherein the distribution pattern of the current can be accelerated through the speed of light in such a way that the envelope of the wave fronts emitted by each volume element of this source possesses a cusp for a specific period of time.
- 5
8. A compact polarization synchrotron comprising an apparatus according to claims 4 and 5, arranged to generate intense, focused pulses of electromagnetic radiation with high frequencies in the near zone.
- 10
9. A device according to claims 7 and 8, arranged for spectroscopy.
- 15
10. A device according to claims 7 and 8, arranged for silicon chip manufacture and semiconductor lithography at very fine length scales.
- 20
11. A broad-band telecommunications antenna comprising an apparatus according to any preceding claim, for conveying telephonic, visual and other electronic data over very long distances without significant attenuation.
- 25
12. A broad-band telecommunications antenna comprising an apparatus according to claim 7, and means for controlling the apparatus such that a generated pulse of electromagnetic radiation is focused at a specific region of interest, distant from the antenna, for a specific 30 period of time.
- 30
13. A network of antennae according to claims 11 and 12, arranged to expand the effective bandwidth of free space for terrestrial electromagnetic broadcasts and 35 communications.

14. A highly compact aerial according to claims 11, 12 and 13 to be used for hand-held portable phones and/or television/Internet connections.

5 15. A device for medical diagnosis treatment comprising an apparatus according to claim 7, and means for controlling the apparatus such that a generated pulse of electromagnetic radiation is focused at a specific region of interest within the body for a specific period of time.

10 16. A device according to claim 15, arranged to irradiate deep tumours selectively whilst sparing surrounding normal tissue.

15 17. A device according to claim 15, arranged for radiation pressure or thermocautery removal of thrombotic and embolic vascular lesions without invasive surgery.

18. A device according to claim 15, arranged for three-dimensional tomography.

20 19. A device according to claim 15, arranged for absorption spectroscopy.

25 20. A device according to claim 15, arranged for confocal scanning microscopy.

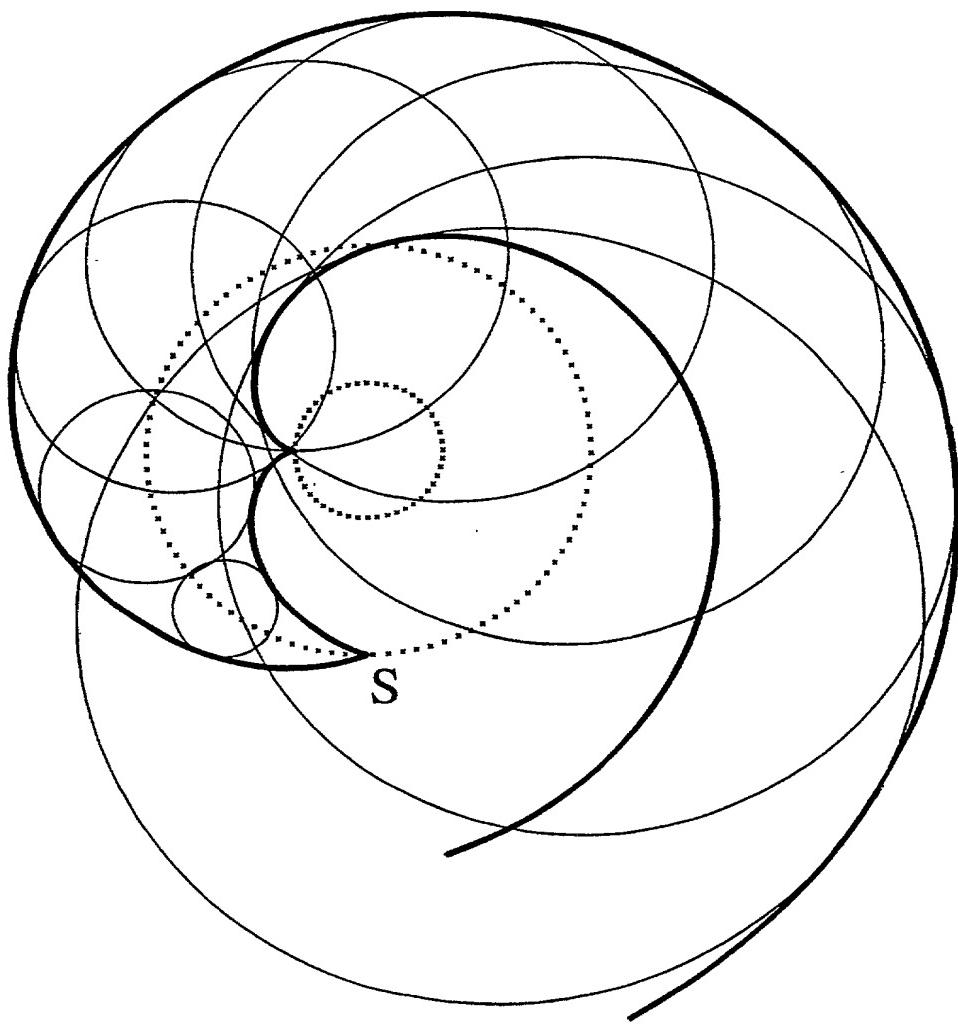


Figure 1

2/9

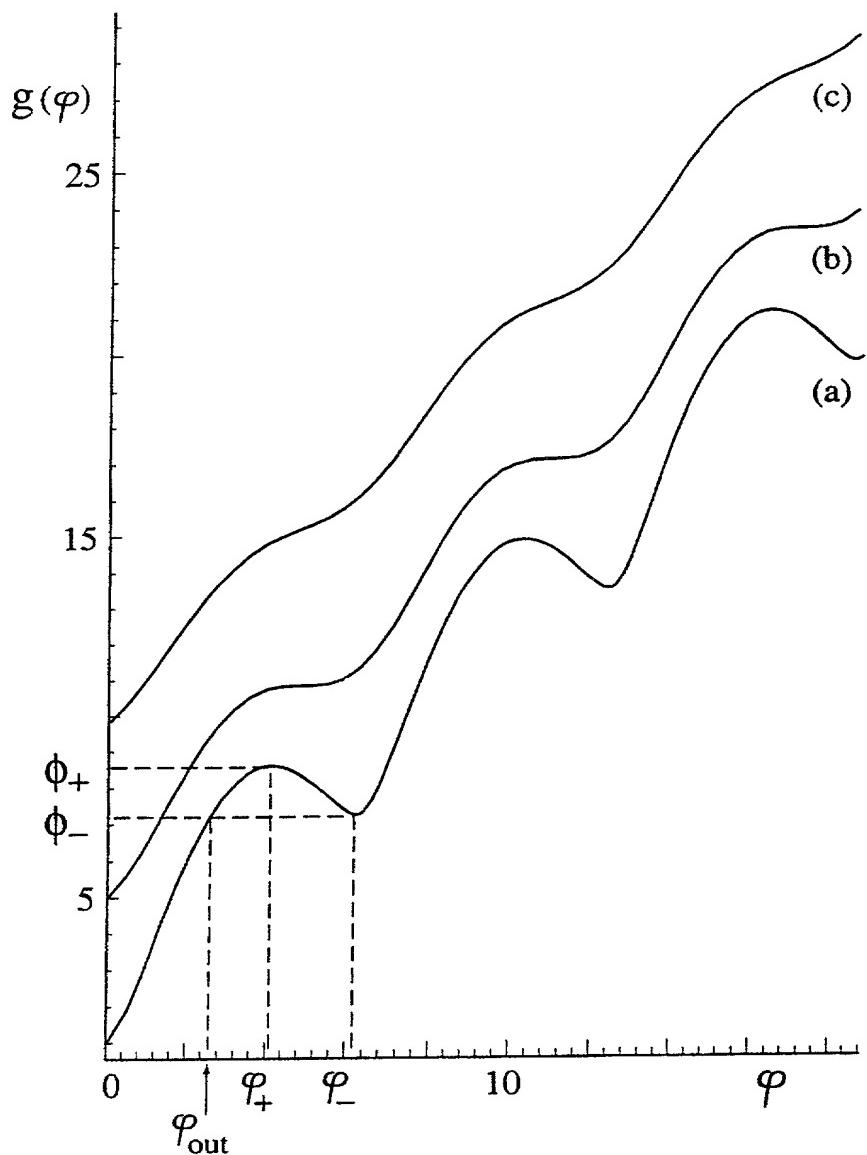


Figure 2

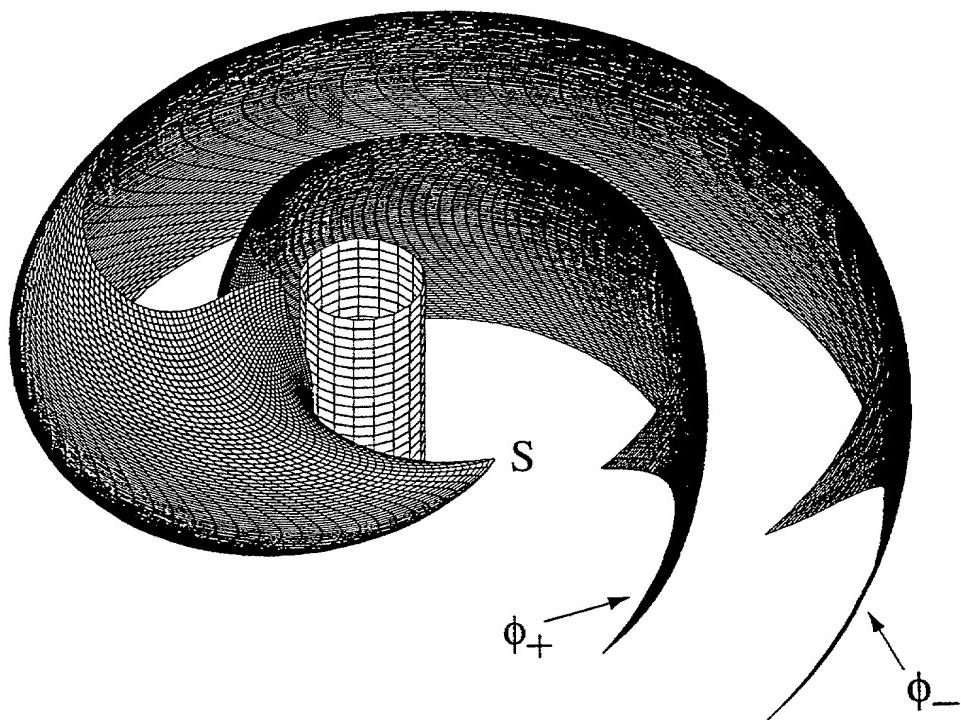


Figure 3

4/9

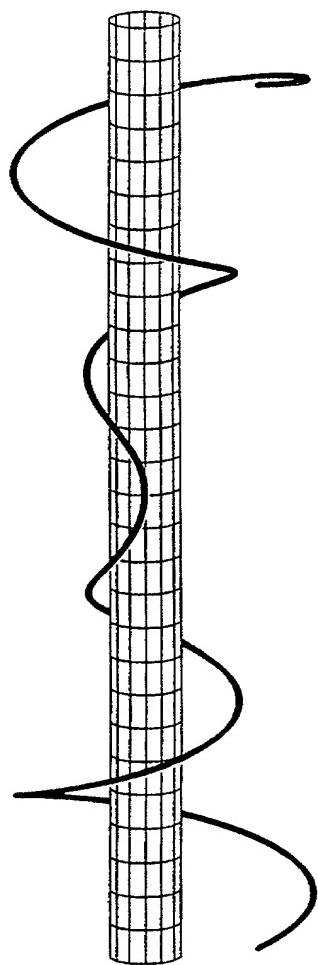


Figure 4

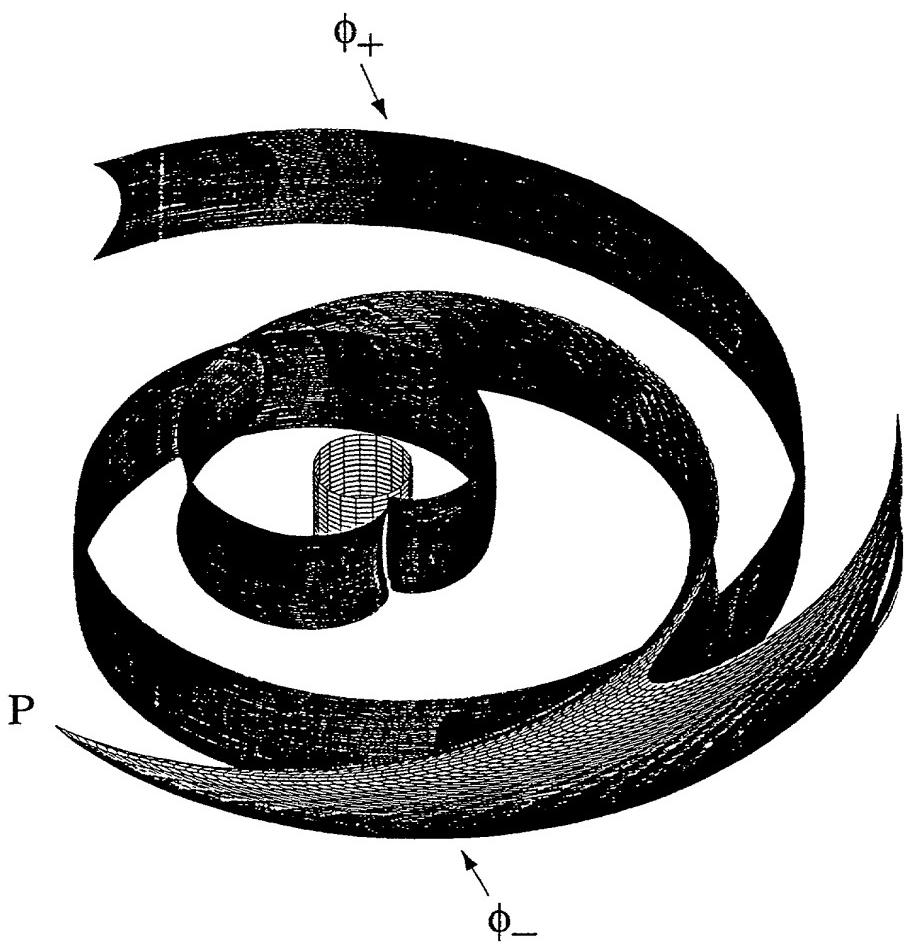


Figure 5

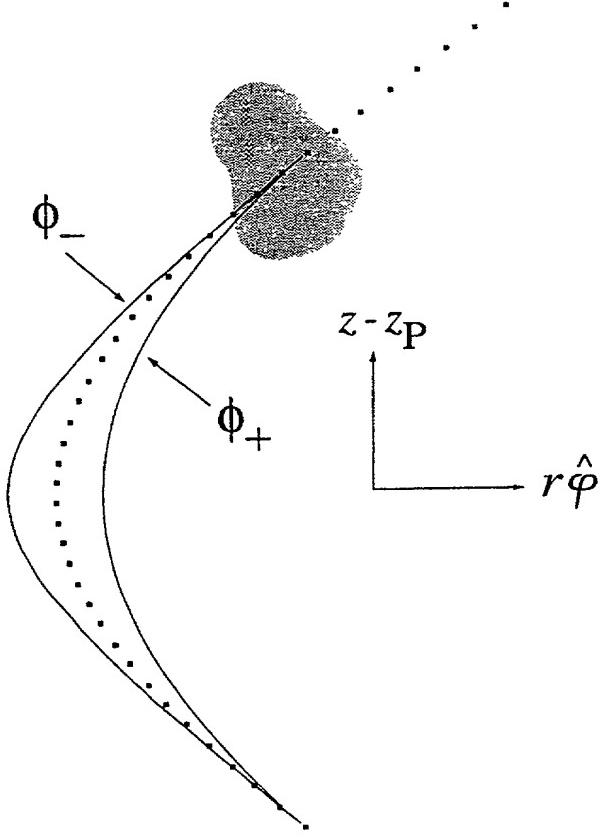
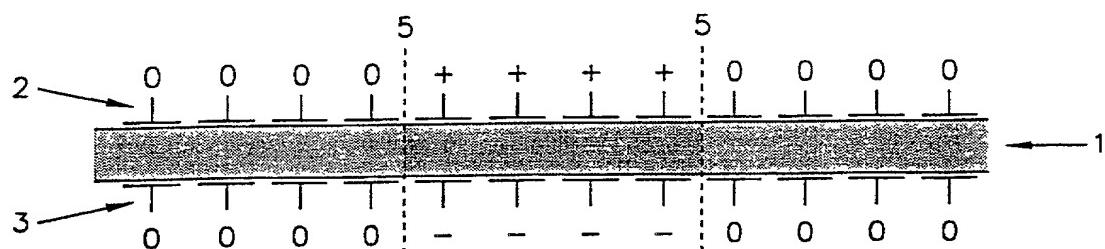


Figure 6

(a)



(b)

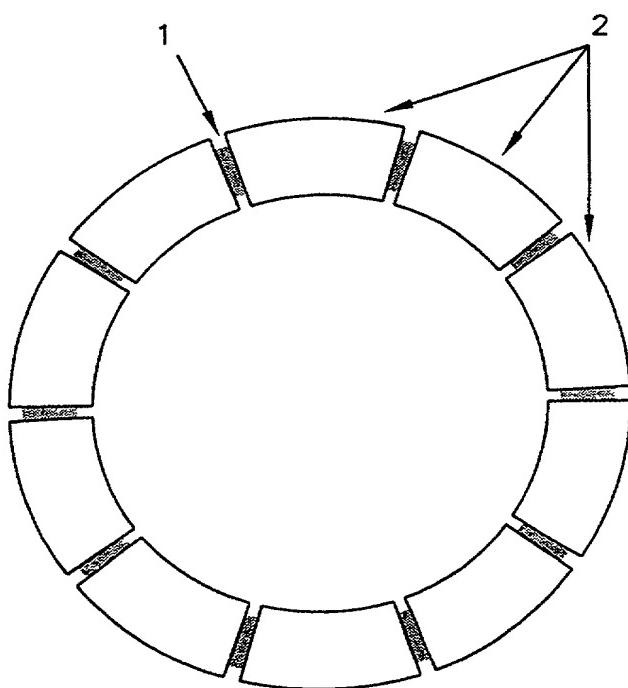


Figure 7

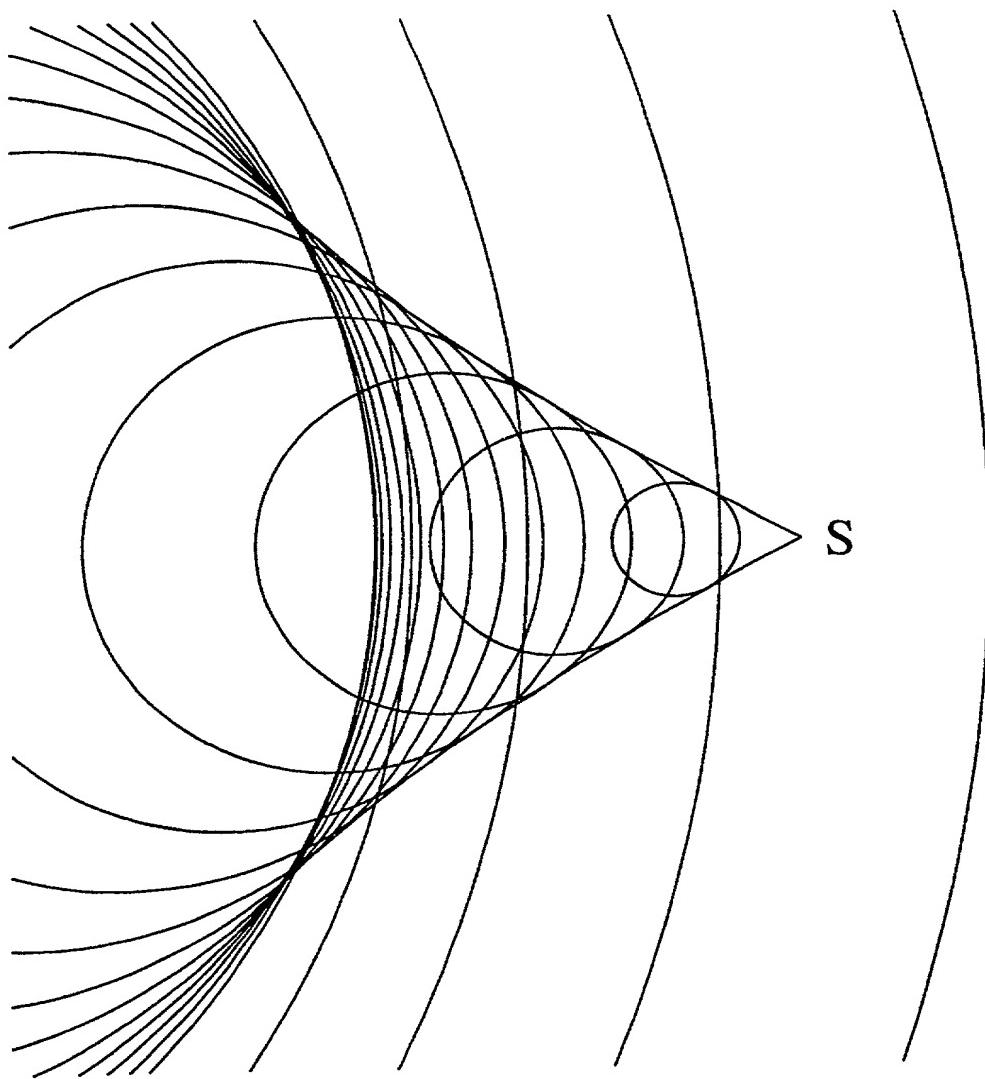


Figure 8

9/9

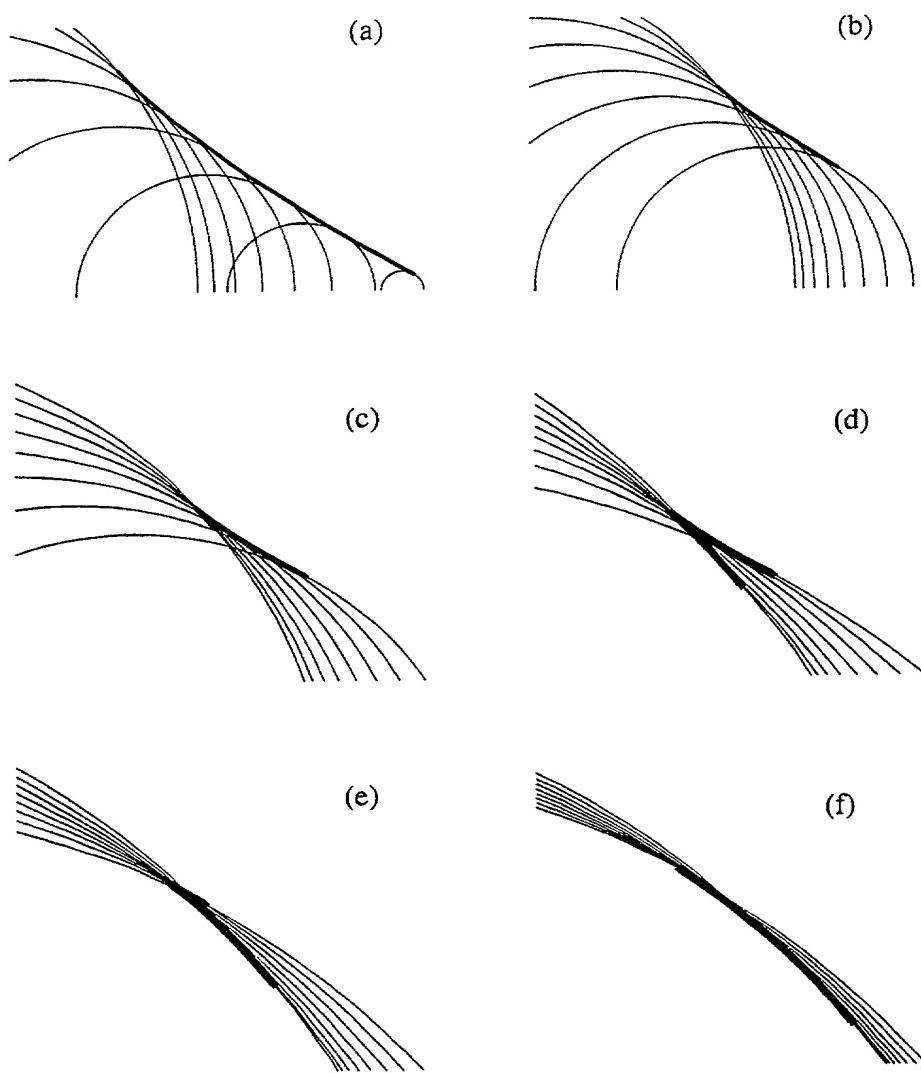


Figure 9

**RULE 63 (37 C.F.R. 1.63)**  
**DECLARATION AND POWER OF ATTORNEY**  
**FOR PATENT APPLICATION**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled APPARATUS FOR GENERATING FOCUSED ELECTROMAGNETIC RADIATION

the specification of which (check applicable box(es)):

[ ] is attached hereto

[ ] was filed on \_\_\_\_\_

[x] was filed as PCT international application No. PCT/GB 99/02943 on 6<sup>th</sup> September 1999

and (if applicable to U.S. or PCT application) was amended on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 C.F.R. 1.56(a). I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed or, if no priority is claimed, before the filing date of this application.

**Prior Foreign Application(s):**

Application Number	Country	Day/Month/Year Filed
9819504.3	United Kingdom	7 <sup>th</sup> September 1998

I hereby claim the benefit under 35 U.S.C. 120/365 of all prior United States and PCT international applications listed above or below and, insofar as the subject matter of each of the claims of this application is not disclosed in such prior applications in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. 1.56(a) which occurred between the filing date of the prior applications and the national or PCT international filing date of this application.

**Prior U.S./PCT Application(s):**

Application Serial No.	Day/Month/Year Filed	Status patented, pending, abandoned
PCT/GB 99/02943	6 <sup>th</sup> September 1999	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint Nixon & Vanderhye P.C., 1100 North Glebe Road, 8th Floor, Arlington, Virginia 22201-4714; telephone number (703) 816-400 (to whom all communications are to be directed), and the following attorneys thereof (of the same address) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent: Arthur R. Crawford, 25327; Larry S. Nixon, 25640; Robert A. Vanderhye, 27076; James T. Hosmer, 30184; Robert W. Faris, 31352; Richard G. Besha, 22770; Mark E. Nusbaum, 32348; Michael J. Keenan, 32106; Bryan H. Davidson, 30251; John Stanley C. Spooner, 27393; Leonard C. Mitchard, 29009; Duane M. Byers, 33363; Paul J. Henon, 33626; Jeffry H. Nelson, 30481; John R. Lastova, 33149; H. Warren Burnam, Jr., 29366; Thomas E. Byrne, 32205.

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